

## The dynamic life of an oceanic plate

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### ABSTRACT

As the Earth's primary mode of planetary cooling, the oceanic plate is created at mid-ocean ridges, transported across the planet's surface, and destroyed at subduction zones. The evolution of its buoyancy and rheology during its lifespan maintains the coherence of the plate as a distinct geological entity and controls the localised deformation and vertical material exchange at plate boundaries, which enables the horizontal ocean-plate movements. These motions intimately link the oceanic plate to the overarching overturn of Earth's mantle: The plate forms out of rising mantle material at spreading ridges; it cools the Earth's interior as the cold thermal boundary layer to mantle convection; and its sinking portions drive not only the plate itself but also dominate global flow in the mantle. We scrutinise here the entire life cycle of the oceanic plate, starting with its birth at the mid-ocean ridge, including the thermal, rheological, and chemical conditions of initiation, followed by plate maturation as it ages and cools while crossing the seafloor, and finishing with the dynamics of plate destruction as it retires at the subduction zone to become a deeper part of Earth's convective system. We find that the full range of dynamic behaviour of the oceanic plate, including its forcing and overall framework within Earth's convecting system, is not fully captured by the existing concept of Plate Tectonics, which describes solely the horizontal surface kinematics of all plates. Therefore, we introduce a more specific and at the same time more integral concept named "Ocean-Plate Tectonics" that more specifically describes the dynamic life of the oceanic plate and accounts for the knowledge gained during the past 50 years. This "Ocean-Plate Tectonics" must have emerged on Earth at least 1 Billion years ago, and dominates Earth's dynamics today.

### 1. Overview

An oft-repeated phrase defines our planet as a heat engine. It is perhaps tired, but nonetheless true. Our planet loses the heat of formation and that generated by radioactivity every day. The physical processes by which that heat is transferred, from crystallisation of the inner core due to secular cooling, fluxing of heat into the mantle, the transport of heat to the surface by convection currents, and heat loss by conduction at ridges, define the dynamics of our planet. The most clearly visible surface manifestation of this process is the oceanic plate: it is the engine that drives plate tectonics and the top thermal boundary layer of Earth's convecting system.

Oceanic plates outperform the bottom boundary layer in terms of heat transfer and contain most of the thermal energy driving convection, which is deposited there by the cooling of the planet (e.g., Zhong, 2006). The oceanic plate also contains, by virtue of its formation, the engine of chemical differentiation that melting of the mantle at the ridge enables. In short, oceanic plates are the engine of continuous

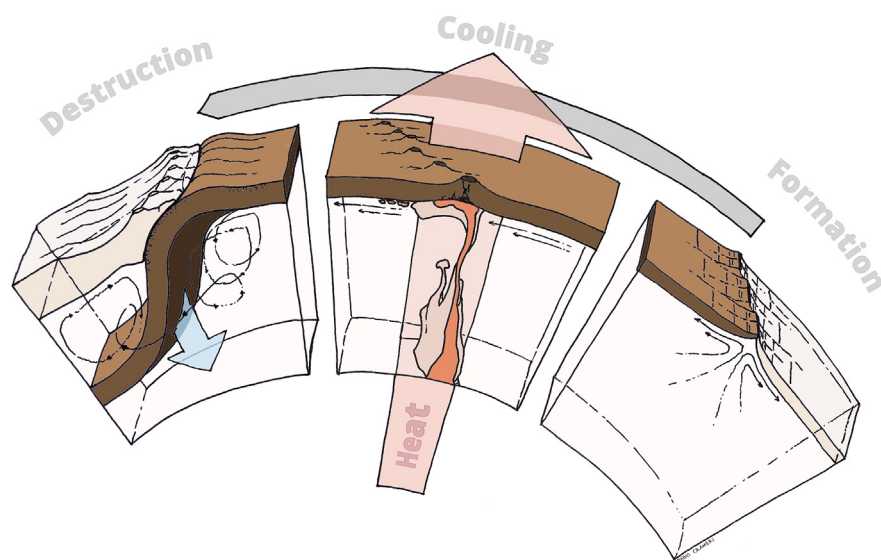
generation of chemical and thermal differentiation of the planet.

Partial melting of peridotite at shallow depths (Section 2) creates a basaltic crust and its complementary residuum, a depleted mantle. The relative thickness of these layers is a function of Earth's potential temperature and the degree of partial melt. From petrological, geochemical, and geophysical constraints (Klein and Langmuir, 1987; Workman and Hart, 2005; Dalton et al., 2014), we infer that  $1600 \pm 50$  K is the potential temperature of the mantle. The resulting intersection of that geotherm with the melting curve of peridotite produces 10–20 % partial melt over roughly 80 km depth, which results in  $\sim 7$  km of basaltic crust. Left behind is a complementary residuum of depleted mantle (e.g., harzburgite) that comprises a layer 4–6 times thicker than the melting product. The rest of the oceanic plate that composes the oceanic lithosphere is the thermally accreted peridotite that may be cold enough to be mechanically strong. That base is thermally defined by the 1600 K isotherm that nearly outcrops at the ridge and defines the potential temperature of normal, if not average, mantle.

What is described above has set the canonical definition for the

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**Fig. 1.** The dynamic life of an oceanic plate. A schematic highlighting the ocean-plate formation, cooling and destruction as part of the planet's global mantle convection driven by the temperature gradient between its hot deep interior and the cold surface environment. Ocean-Plate Tectonics is the concept that describes not only the horizontal surface motion of the oceanic plate (grey arrow), but also highlights the pull from its subducted portion as the main driver (blue arrow), distinguishes the oceanic plate (dark brown) from its continental counterpart, acknowledges the plate–mantle coupling that induces characteristic regional mantle-flow patterns (black flow lines), and describes the dynamics of the oceanic plate as part of the larger framework of global mantle convection that transports heat out of the interior (light-red arrow).

thickness of the oceanic plate from birth to death. It is also that potential temperature and the difference with the temperature at the Earth's surface that sets the thermal content of the oceanic plate and the thermal energy of Earth's convecting system. This is equivalent to a gravitational energy per unit volume of  $\sim 3 \times 10^9 \text{ J/m}^3$  and a density contrast of  $\delta\rho > 2\%$  with respect to the underlying mantle. This would encapsulate most of the energy in the mantle-convection system, if the mantle was purely heated by radioactive decay. However, core cooling can be a significant contributor, perhaps as much as 50% of the total energy budget (Lay et al., 2008), making the bottom boundary layer almost as important as the oceanic plate.

The thermal contrast between the interior of the Earth and its surface is distributed over a finite thickness as the plate cools, a plate thickness that grows as the plate moves away from the ridge. As the plate ages, it interacts with transform faults and develops fracture zones, and it is pinpricked by magma generating seamounts and volcanoes that load it and deform it. In other words, during its growth phase, the oceanic plate is fundamentally altered in composition by magmatism and, most importantly, by water interacting with the basaltic crust and uppermost mantle as well as plate deformation, cracking, and fracturing. These chemical and mechanical alterations fundamentally modify the nature of the oceanic plate after formation so that it becomes rheologically heterogeneous.

This suggests a different definition of the thickness or base of the oceanic plate. This one is not defined thermally by the 1600 K isotherm, but rather by mechanical strength, which may be most useful in the context of the plate-mantle system. That is, the plate is defined as that part of the lithosphere mechanically strong enough not to be convectively unstable. We may envision the plate as consisting of the crust and the depleted mantle (and perhaps the very coldest undepleted mantle), both cold enough and rheologically distinct from what is underneath and governed by a rheology stiff enough to remain coherent throughout the remaining lifetime of the plate.

During the partial melting process, we expect water to move from the solid to the melt (Asimow et al., 2001), and hence be accumulated into the crust, while depleting the residuum of water, making it drier and stronger (Karato and Jung, 1998). The oceanic plate would then consist, from its very birth, of a wetter weaker crust, underlain by a drier, stronger, chemically depleted core that is in turn underlain by a cold, but less strong, undepleted mantle. The process of cooling and growth of the oceanic lithosphere with hydrothermal cooling at the ridge axis and interactions at transform faults and fracture zones would further alter the hydration of the crust and perhaps plate as a whole, affecting the plate rheology. This process would be further aided

by compositional alteration and mechanical loading by seamounts. This gives us a picture of an oceanic plate that not only contains a great thermal energy and is compositionally differentiated, but one with large variations in mechanical properties.

The thermally, compositionally, and mechanically heterogeneous oceanic plate reaches its ultimate fate at subduction zones where its properties, particularly rheology, determine subduction-zone dynamics (Garel et al., 2014), the morphology of the plate (slab) in the mantle (e.g., Royden and Husson, 2006), and, most important for society, the coupling with the overriding plate, which may significantly impact earthquake hazards (e.g., Herrendorfer et al., 2015). Indeed, the very weakness of the crust seems essential for subduction (Lenardic and Kaula, 1994; Gerya et al., 2008; Cramer and Tackley, 2015).

A momentous phenomenon happens during the birth of the oceanic plate. After eruption, the basaltic lavas acquire information about the Earth's magnetic field as they cool below the Curie temperature. In doing so, oceanic plates become the most accurate recorders of Earth's kinematic history. Without this record, we would not have been able to develop the plate tectonics paradigm or connect Earth's internal dynamics to surface processes (Vine and Matthews, 1963). It is in this kinematic record, and its implications for both plate tectonics (Seton et al., 2012) and the link between plate history and mantle dynamics (e.g., Torsvik et al., 2014; Domeier et al., 2016), that Trond Torsvik's contributions have been fundamental to the fields of both Paleomagnetism and of Geodynamics. It seems fitting then to dedicate this paean to the oceanic plate to him.

The oceanic plate, from its formation at the ridge to its destruction at subduction zones, is the engine that drives plate tectonics, even though large-scale mantle upwellings may contribute on regional scales (Lithgow-Bertelloni and Silver, 1998; Cande and Stegman, 2011; Becker and Faccenna, 2011) or by enhancing global convection cells that are primarily organised by downwellings (Steiner and Conrad, 2007; Faccenna et al., 2013). Plate formation by partial melting of mantle rocks and their subsequent cooling, leads to a compositionally differentiated boundary lithosphere that carries thermal, rheological and chemical heterogeneity back into the convecting system. It is this heterogeneity that we image with seismic waves. The details of these processes, the formation at ridges, the growth via cooling as the plate spreads, and its destruction at subduction zones, are the subject of the subsequent sections of this paper and are illustrated in Fig. 1. Our motivation is to review these three stages of the oceanic plate and to illustrate the fundamental nature of the oceanic plate for plate tectonics (and mantle convection) on Earth, the only planet where oceanic plates are found.

## 2. Ocean-plate formation

A central element of the global tectonic regime of our planet is the Mid-Ocean Ridge (MOR) system, where plates diverge and where new oceanic lithosphere, including its crust, is formed (e.g., Parsons, 1981). A MOR is where the Earth turns itself inside out and gives birth to the oceanic plate. Spreading ridges are crucial to the Earth system: Magmatic activity and faulting at the ridge axis combine to drive hydrothermal circulation, which chemically processes seawater, focuses heat to hydrothermal vent sites, where abundant biological activity can be found, and precipitates valuable mineral deposits (Wolery and Sleep, 1976; Humphris et al., 1995; Lowell et al., 2008). With regards to plate tectonics, a MOR acts to initialise the oceanic lithosphere and prepare it for its later evolution (e.g., Williams and Von Herzen, 1974; Stein and Stein, 1994). The nascent plate must be described in terms of its dynamics, which cover thermal and mechanical processes, and its structure, which includes chemical composition and zones of mechanical damage that are permanently inscribed into the evolving lithosphere.

At lithospheric scales, a MOR can be seen as a rather simple system because preexisting conditions can essentially be ignored: To first order, ridges are in steady state and continually renewed; mantle rises up and melts; the melt collects to form the crust; the thermal lithosphere grows progressively as the plate cools; plate motion evacuates the growing lithosphere, allowing the process to continue *ad infinitum*; there is no inheritance. On the other hand, the ridge is a rapidly evolving system characterised by strong lateral gradients, both perpendicular and parallel to the ridge axis (Lin and Morgan, 1992; Magde et al., 1997; Sinha and Evans, 2004): Transform faults are an important source of complexity (Morgan and Forsyth, 1988; Behn et al., 2007; Gregg et al., 2009; Weatherley and Katz, 2010; Bai and Montési, 2015); melting and melt extraction are still not fully understood (Kelemen et al., 1997; Katz, 2008; Keller et al., 2017); recent studies have revealed traces of preexisting lithosphere (Torsvik et al., 2015, 2014).

Here, we focus on these recent developments. After a quick overview of the fundamental geodynamic building blocks active at MORs, we discuss how they interact with each other and influence melt extraction, what the structure of the plate that exits the MOR system is, and finally review the evidence for some degree of inheritance at MORs.

### 2.1. The building blocks: spreading, upwelling, and melting

#### 2.1.1. Kinematics of spreading

The first-order kinematics of a MOR were first identified after geophysical investigations revealed stripes of normal or reverse magnetic polarity on the seafloor. Each polarity reversal marks a discrete time during which the oceanic crust formed. The symmetry of reversal patterns about the ridge axis is most easily explained by the plate carrying away newly formed oceanic crust. Although it had previously been proposed that MORs are the location where plates move away from one another (Dietz, 1961; Hess, 1962), the link with a magnetic time scale (Vine, 1966) made it possible to reconstruct former plate motions by removing the oceanic plate formed since a given epoch (e.g., Torsvik and Cocks, 2016b).

Unlike what is commonly described in textbooks, seafloor spreading is rarely perfectly symmetric. Instead, one plate moves away from the ridge axis slightly faster than the other (Müller et al., 1998, 2008a). If the velocities of each plate away from the ridge axis are  $V_1$  and  $V_2$ , the spreading rate, or plate-divergence rate, is  $V_D = V_1 + V_2$  (see Fig. 2). Depending on the reference frame considered, the asymmetry of spreading can be regarded either as the difference in plate motion with respect to the ridge axis or as the velocity at which the ridge migrates away from a fictitious point remaining halfway between the two plates:  $V_R = (V_1 - V_2)/2$ . Globally, the degree of asymmetry, defined here as  $|V_1 - V_2| / (V_1 + V_2)$ , is  $13 \pm 10\%$ , and it rarely exceeds 20% (Fig. 3a). The most asymmetric segments also tend to be the slowest.

The spreading rate, which can vary from  $V_D \approx 10$  mm/yr to  $V_D \approx$

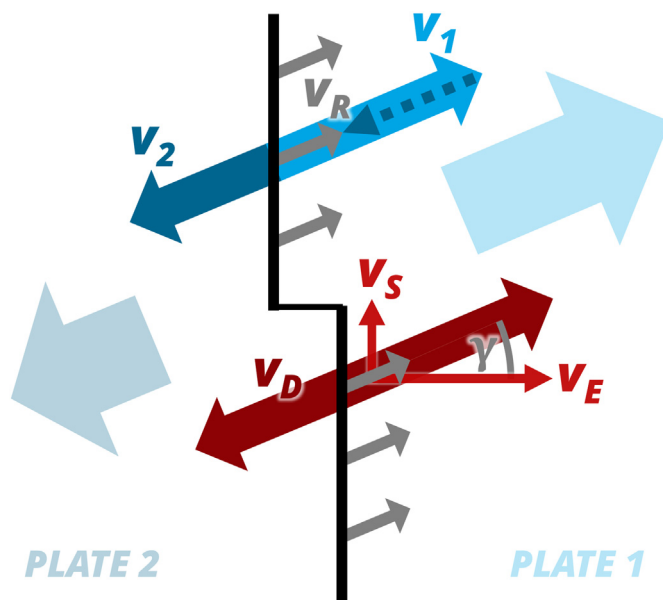


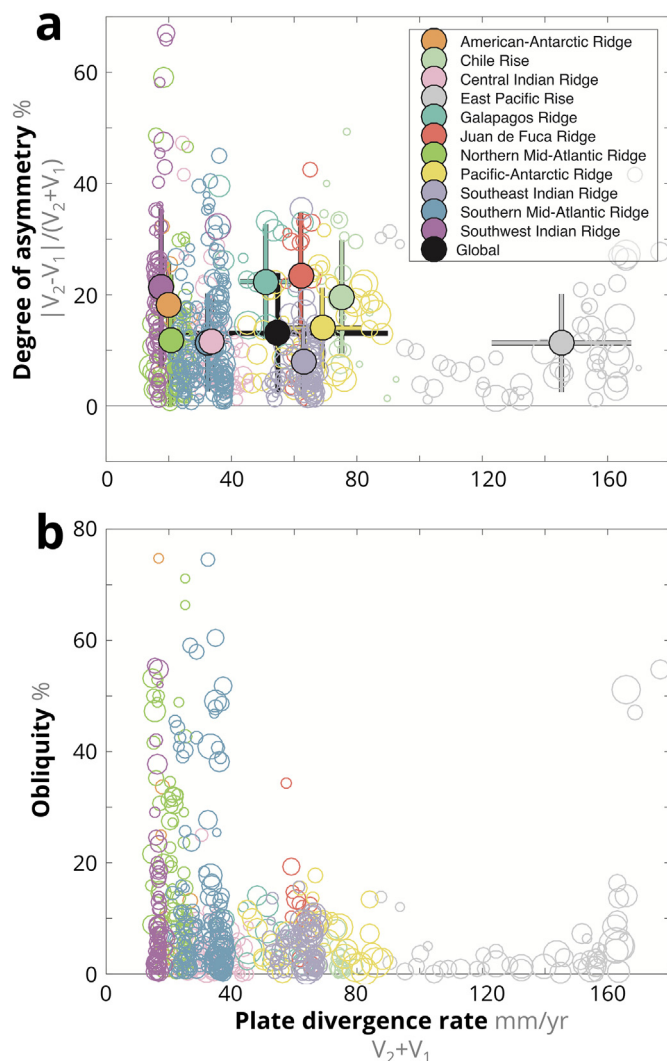
Fig. 2. Mid-ocean-ridge spreading definitions. Shown are the top views of a spreading ridge (solid black line) with the asymmetric, diverging-plate velocities ( $V_1$  and  $V_2$ ), the resulting spreading or plate-divergence rate ( $V_D = V_1 + V_2$ ), the ridge velocity ( $V_R = (V_1 - V_2)/2$ ) with respect to a fictitious reference point halfway between the two plates, the ridge-normal ( $V_E$ ) and ridge-parallel ( $V_S$ ) plate velocity components, and the obliquity angle  $\gamma$  (after Montési and Behn, 2007, see text for more details).

150 mm/yr, is associated with systematic variations in ridge axis morphology and along-axis segmentation (Schouten et al., 1985; Macdonald et al., 1988). Most ridges are broken into segments separated by transform faults parallel to the spreading direction (see Fig. 1). Opening obliquely to the azimuth of transform faults requires the transform fault to be under transtension or transpression, or to rotate at an angle from the ridge axis, and is therefore not favoured. Seismic investigations have suggested that the uppermost mantle may move at an angle from surface plate motions (Toomey et al., 2007). Although the cause for this “skew” remains a mystery, it is possible that the shear it imposes on the ridge axis causes reorientation of ridge segments and formation of new discontinuities (Van der Beek et al., 2016).

When the spreading rate decreases to the range of ultraslow spreading centres ( $V_D \leq 15$  mm/yr), transform faults become increasingly rare (Grindlay et al., 1998; Dick et al., 2003; Michael et al., 2003). Instead it becomes increasingly common for the ridge axis to be oblique to the spreading-normal direction (Taylor et al., 1994; Dick et al., 2003). Such axes are under transtension, which can be sustained over long periods in the absence of major ridge axis discontinuities. From a structural and thermal viewpoint, it is useful to decompose plate motion into a ridge-normal, or effective, spreading rate,  $V_E = \frac{1}{2}V_D \sin \gamma$ , and a ridge-parallel velocity,  $V_S = \frac{1}{2}V_D \cos \gamma$ , where  $\gamma$  is the obliquity angle, as the shear component induces a fundamentally different flow field than the divergence (Montési and Behn, 2007, Fig. 2).

Few ridges display an obliquity angle,  $\gamma$  (see Fig. 2), larger than  $30^\circ$ : High obliquity is most commonly observed at either ultraslow or ultrafast spreading centres (Fig. 3b). This may be an effect of the energy partitioning between spreading centre and transform faults (Stein, 1978). Alternatively, this may reflect the absence of well-developed transform faults in either endmembers: Segmentation at ultraslow ridges is expressed by changes in ridge azimuth (Dick et al., 2003) and at ultrafast ridges by overlapping spreading centres and microplates (Macdonald, 1998), which can accommodate transtension by rotating. In terms of instantaneous kinematics, there is only a semantic difference between a high obliquity ridge and a transtensional transform fault (Taylor et al., 1994), but it may be implied, for the former, that





**Fig. 3.** Mid-ocean-ridge segments asymmetry (a) and obliquity (b). Each open circle represents a single segment and its size is proportional to segment length. Each ridge is associated with a given colour. The solid circles with error bars represent averages over each ridge or a global average (black). The average uses weights proportional to segment length. Data from 600 segments defined by Gale et al. (2013) with kinematics from Müller et al. (2008a) compiled by Bai (2017).

sufficient extension has taken place, so that new lithosphere and oceanic crust is generated.

### 2.1.2. Upwelling

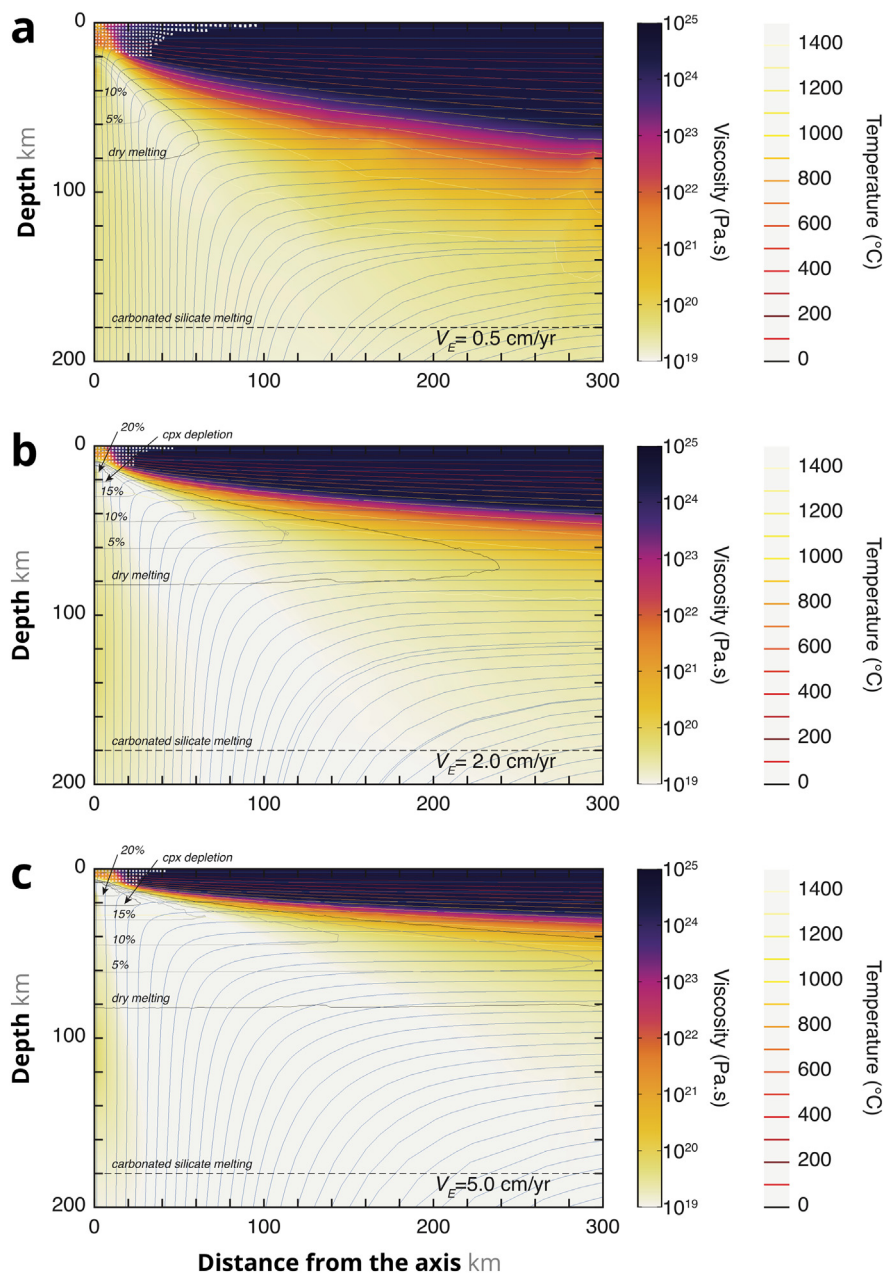
As the plates diverge, material rises from the Earth's interior and fills the otherwise opening gap between the plate (Fig. 1). Drawing on the fluid mechanics solution for corner flow (Batchelor, 1967), McKenzie (1969) described the mantle as a fluid driven by the motion of two rigid plates. The solution was later generalized so that the base of the plate can have a slope (Spiegelman and McKenzie, 1987), which approximates the base of the actual plate (Lachenbruch, 1976). Additionally, Montési and Behn (2007) showed that, in the case of an oblique spreading centre, the upwelling rate is controlled solely by the effective spreading rate,  $V_E$ . Solutions for corner flow with a power-law rheology are also available (Fenner, 1975), even though they have been applied principally to subduction zones, not mid-ocean ridges (Tovish et al., 1978). The corner flow problem can be solved analytically for an isoviscous, Newtonian fluid. For a symmetric ridge, the maximum upwelling rate is directly underneath the axis and has value of

$V_z^0 = V_E \frac{1 - \cos \beta}{\beta - \sin \beta}$ , where  $\beta$  is the angle between the base of the lithosphere on either side of the axis. The upwelling rate is less than the spreading rate if the slope of the lithosphere is horizontal ( $\beta = 2\pi$ ,  $V_z^0 = 2V_E/\pi$ ) but increases when the slope of the lithosphere is steeper. The upwelling rate,  $V_z^0$ , even exceeds the spreading rate,  $V_E$ , if  $\beta$  is less than  $\sim 138^\circ$ , that is, if the lithosphere slope is more than  $\sim 21^\circ$ . In truth, the slope of the lithosphere depends on the thermal structure and varies with distance from the axis and with spreading rate (Fig. 4). The analytical solution shows the upwelling scales with the effective spreading and is enhanced by the steep lithospheric slopes that may be expected at slow spreading centres.

A separate source of upwelling comes from low-density anomalies, or mantle plumes (Lin et al., 1990; Sparks et al., 1993). Whether thermal or compositional in origin, a low-density region can rise as a plume or a Stokes sphere and can change the thermal regime of the axis (Ruedas et al., 2004). The slope of the lithosphere (Ribe, 1996; Ito et al., 1997; Albers and Christensen, 2001; Ito et al., 2003; Gasmöller et al., 2016) and the upwelling flow generated by ridge spreading (Jellinek et al., 2003) should focus plumes towards the ridge axis. As a plume can weaken the lithosphere elsewhere and favour the initiation of a new ridge above it (Gerya et al., 2015), ridges can migrate, typically by jumps, to maintain their position above mantle plumes and to maximise plume-ridge interaction (Schilling et al., 1985; Wilson and Hey, 1995; Hardarson et al., 1997; Mittelstaedt et al., 2011; Howell et al., 2014). The clearest indication that mantle plumes can influence a MOR is found in the presence of elevated ridge segments, like Iceland, the Azores, or parts of the Cocos-Nazca spreading centre, indicating increased material flux (Vogt, 1971; Schilling, 1973, 1991; Canales et al., 1997; Gente et al., 2003; Mittelstaedt et al., 2012; Graham et al., 2014). Geophysical evidence for on-axis plumes at the East Pacific Rise includes a narrow electrical conductivity anomaly below the axis (Baba et al., 2006) and rapid near-axis thickening of the lithosphere (Harmon et al., 2009). However, this upwelling may not have a deep origin, and could be driven by the buoyancy of the melt present in the upwelling mantle (Eilon and Abers, 2017). As the divergence-related flow field scales with spreading rate but the plume-related upwelling scales with density anomaly, independent of spreading rate, it stands to reason that slow-spreading ridges should be more sensitive to plume influence than fast-spreading ridges (Lin and Morgan, 1992), even though fast-spreading ridges may be more effective at attractive plumes.

The thermal structure of the oceanic plate is controlled principally by its age (Stein and Stein, 1994; McKenzie et al., 2005). At the ridge axis, however, the upwelling of warm mantle introduces heat into the MOR system. The thermal structure at the MOR is therefore controlled by a balance between advection, especially upwelling, and conduction, with additional contributions from the latent heat released upon melting (e.g., Sleep, 1975). The thermal length scale  $L_T = \kappa/V_z^0$ , where  $\kappa$  is the thermal diffusivity, describes the transition from conduction-dominated to advection-dominated heat transfer. It can be used to provide a quick estimate of the lithosphere thickness on-axis  $z_L \approx 5L_T$  (Montési and Behn, 2007). For an isoviscous ridge, the on-axis lithosphere thickness is inversely proportional to the effective spreading rate, and varies from  $\sim 1$  km to 30 km between ultrafast and ultraslow spreading centres, respectively. However, precise values must take into account many complicating and often competing factors (Braun et al., 2000; Sleep and Warren, 2014) including the effects of temperature- and strain-rate-dependent viscosity, near-surface cooling from hydrothermal circulation, the cooling effects of melting, and heat advection with melt transport (Figs. 4 and 5).

At fast and intermediate spreading rates ( $V_E \geq 33$  mm/yr), this estimate perhaps surprisingly places the base of the lithosphere within the crust (e.g., Hebert and Montési, 2010). The additional heat flux from the melt input makes the lithosphere thinner than this value. The lithosphere at a fast spreading centre is therefore better regarded as the top of a crustal magma chamber, only  $\sim 1$  km below the seafloor



**Fig. 4.** Flow field of a MOR. Flow lines (dark blue), viscosity (coloured field), temperature (coloured contours), and degree of melting (labelled contours) for mid-ocean-ridge models with spreading rate ( $V_E$ , half rate) of (a) 0.5 cm/yr, (b) 2 cm/yr, and (c) 5 cm/yr. The white boxes mark elements where brittle failure takes place. Only the near-axis part of the model is shown. Full model dimensions: 1000-km wide, 300-km tall. Flow is entirely driven by plate divergence (no buoyancy) and the side and bottom boundary conditions are open flow. The viscosity is temperature and strain rate dependent to mimic dislocation creep, diffusion creep, and brittle failure and thermal conductivity is enhanced by a factor up to 8 where brittle failure dominates. Only dry melting is considered, following the description of Katz et al. (2003) with latent heat of fusion 420 kJ/kg. The effects of melt migration and crystallisation are not included.

(Detrick et al., 1993).

At the slowest ridges, this estimate places the base of the lithosphere to  $\sim 30$  km. The slope of the lithosphere increases the upwelling rate, which would thin the lithosphere. However, hydrothermal circulation increases heat loss in the first few kilometres near the surface (Behn et al., 2004; Sinha and Evans, 2004). This effect may be especially important at ultraslow spreading centres, where brittle failure reaches significant depths (Schlindwein and Schmid, 2016), and would thicken the lithosphere. These processes counteract each other so that precise value for on-axis lithosphere thickness requires a fully coupled model, but eventually may not be too different from the analytical estimate to  $5\kappa/L_T \approx 30$  km: seismic activity reaches depths of at least 31 km at the ultraslow Southwest Indian Ridge (Schmid and Schlindwein, 2016).

## 2.2. Melting processes

### 2.2.1. Melt generation

The main cause of melting at a MOR is decompression in the

upwelling mantle. For a “typical” mantle potential temperature of 1350°C (Putirka et al., 2007; Dalton et al., 2014), the dry solidus is reached at about 80 km depth (Figs. 4 and 5). As mantle progressively decompresses, up to about 20% of it melts (Langmuir et al., 1993). How much and how fast melt is produced remains poorly understood, as both the solidus (Hirschmann, 2000; Sarafian et al., 2017) and the rate at which melt is produced are still debated. Early models have assumed a linear variation between solidus and liquidus (Reid and Jackson, 1981), while a nonlinear function, in which melting may become more effective as it proceeds, was favoured by Katz et al. (2003). Detailed petrology-based models have also been developed (Niu and Batiza, 1991; Kinzler and Grove, 1992; Asimow et al., 2001; Behn and Grove, 2015) but often assume a melt production rate. Although it is possible to draw conclusions regarding mantle temperature, melting regime and other similar aspects by modelling geochemical data (McKenzie and Bickle, 1988; Shaw et al., 2010; Gale et al., 2013), caution is required in interpreting these results as long as the laws that govern melting at MORs are not better understood.

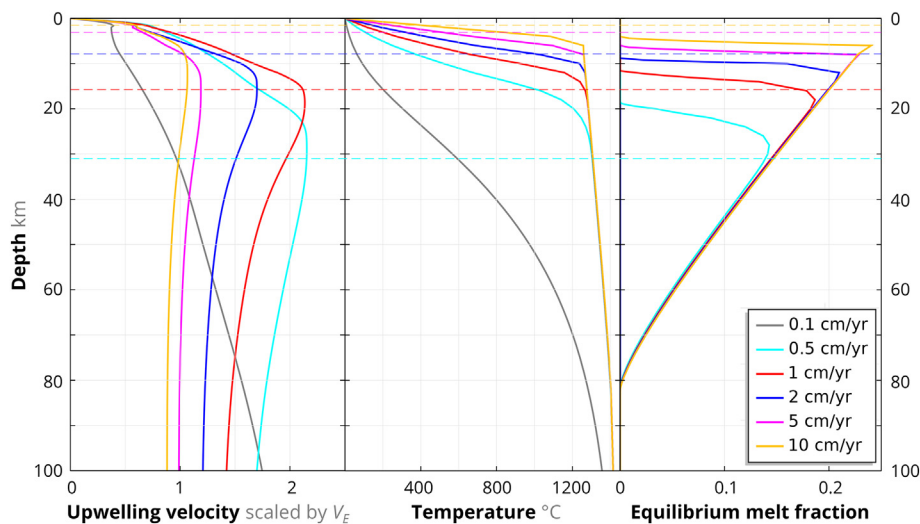


Fig. 5. Physical state below a MOR axis. Velocity, temperature, and equilibrium melt fraction taken immediately below the ridge axis from MOR models with different spreading rate ( $V_E$ , half rate). No melt is produced at the slower rate of 0.1 cm/yr. The thin dashed lines mark the base of the lithosphere from the scaling relation in Montési and Behn (2007). Same model setup as in Fig. 4.

Several aspects of melting at MORs are well known, though. For example, melting is eutectic: The principal minerals present in the upper mantle, olivine and pyroxene, start to melt at much lower temperatures when combined than when separated (Langmuir et al., 1993). However, as clinopyroxene is preferentially incorporated into the melt, the solid composition changes as melting proceeds (Walter et al., 1995; Longhi, 2002). Eventually, after ~15% to 20% melting clinopyroxene is exhausted and melting rate decreases dramatically (Katz et al., 2003, Fig. 5).

One major difference between melting in the laboratory and melting in a natural MOR environment is that experiments are conducted as a closed system, whereas in nature melt rapidly separates from the solid residuum (Spiegelman, 1993). Although 15% melting is expected in most calculations due to decompression alone, geophysical methods detect only 1 to 2% melt (Team, 1998; Evans et al., 1999; Eilon and Abers, 2017). The rest is extracted quickly, so that the actually melt content, or porosity  $\phi$ , is much smaller than the degree of melting,  $F$ .

Two factors allow this rapid melt transport. First, melt appears to efficiently wet solid grains, leading to an effective microscopic channel network (Zhu et al., 2011; Miller et al., 2014). Second, melt is likely to be distributed in subvertical melt channels due to one of several mechanisms. For example, as melt rises and encounters lower and lower pressures, it should react with the surrounding solid grains, dissolving orthopyroxene and precipitating olivine, and increasing porosity (Kelemen et al., 1995). As this increases permeability and melt transport rate, the process becomes unstable, leading to localised channels, the size of which is controlled by the balance between reaction and horizontal melt transport into the channel (Spiegelman et al., 2001). These melt channels are easily triggered by unavoidable compositional heterogeneities in the upwelling mantle (Keller and Katz, 2016) and are able to form despite the disrupting effect of the solid mantle flow (Keller et al., 2017). Melt-rich channels may also develop using feedbacks between melt contents and viscosity, buoyancy, and temperature (Stevenson, 1989; Butler, 2009; Hewitt and Fowler, 2009). How all of these phenomena interact remains to be studied, but it is clear that melt is very effectively extracted from the upwelling, decompressing mantle. The effect of melt influx at the base of the on-axis lithosphere also remains to be understood, but it will likely thin the lithosphere due to the heat accompanying the melt (Sleep and Warren, 2014). This effect would be most important at slow and ultraslow ridges where the lithosphere is thicker than the crust.

### 2.2.2. Melt focusing

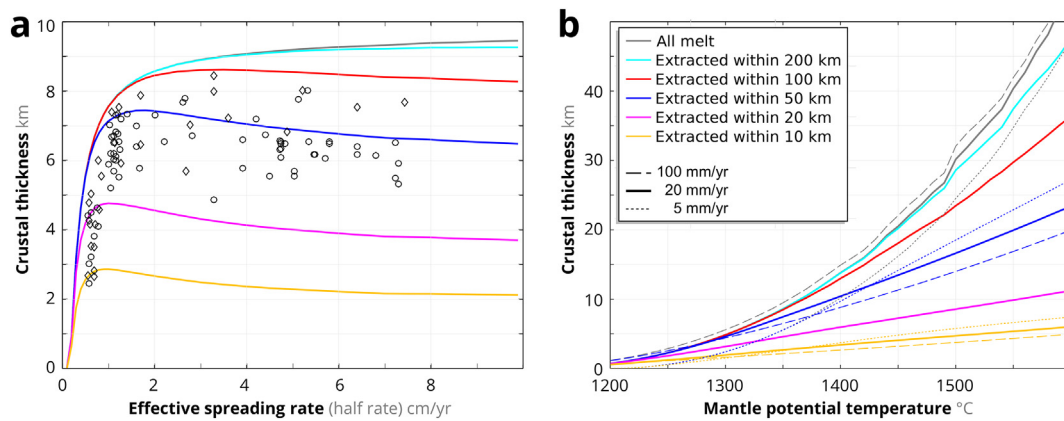
With such uncertainties on the melting process, it is not surprising that the actual volume of melt produced at a mid-ocean ridge also

remains uncertain. The issue is compounded by uncertainties regarding the mode of melt extraction. If only the vertical transport described above were active, melt would be delivered on the seafloor over distances of several hundred kilometres. Instead, crustal thickness reaches its final value within 1 or 2 km distance from the ridge axis (e.g., Vera et al., 1990), implying intense focusing of the melt towards the axis (Kelemen et al., 1997).

Many ways of focusing melt towards the axis have been proposed over the years. Divergence at the axis is, for example, associated with a pressure low, which might suck melt towards the axis (Spiegelman and McKenzie, 1987; Morgan, 1987). However, this effect depends on mantle viscosity and is probably effective only over 10 km or so. The buoyancy of melt-rich material near the axis may generate a dynamic upwelling (Buck and Su, 1989). However, the efficiency of melt transport precludes the accumulation of enough melt to generate a strong diapir (Barnouin-Jha et al., 1997). Hart (1993) suggested that melt channels might coalesce and form a fractal tree that delivers melt only to the ridge axis, but numerical experiments and field observations suggest that the rate at which channels merge is not high enough (Braun and Kelemen, 2002). One possibly successful way to focus melt towards the ridge axis is to take advantage of the shear experienced by the mantle as it rises and turns following corner flow stream lines. Laboratory and numerical experiments have shown that melt can collect in melt-rich bands at an angle from the shear direction (Holtzman et al., 2003; Kohlstedt and Holtzman, 2009). These melt bands may be oriented in the MOR mantle in such a way that melt moves preferentially towards the ridge axis (Katz et al., 2006).

A particularly successful model of melt focusing was proposed by Sparks and Parmentier (1991): They argued that melt actually rises predominantly vertically but that, as it enters the lithosphere far from the axis, it crystallises fast enough to form an impermeable barrier. Such a barrier is likely associated with crystallisation of pyroxene  $\pm$  plagioclase (Kelemen and Aharonov, 1998) and nearly follows an isotherm along the base of the lithosphere (Hebert and Montési, 2010). Thus, melt can travel in a decompaction channel immediately below the barrier and be guided towards the ridge axis. Although direct evidence of the permeability barrier and decompaction channel is scant, this model predicts variations in crustal thickness related to ridge segmentation that compare well with observations (Montési et al., 2011; Hebert and Montési, 2011; Bai and Montési, 2015). Finally, it is possible that a deeper barrier exists at greater depth, where permeability is reduced because the shear associated with corner flow reduces grain size (Turner et al., 2015) or because of variations in compaction pressure when the mantle is treated as a non-Newtonian fluid (Turner et al., 2017). The melt content distribution predicted by these last two studies





**Fig. 6.** Crustal thickness at a MOR. Prediction of crustal thickness from a numerical model of mid-ocean ridge spreading for (a) different spreading rate and a mantle potential temperature of 1350°C and (b) different mantle temperature and an effective spreading rate of 20 mm/yr (half rate). Each colour corresponds to a maximum distance to the axis from which the melt can be extracted. Symbols in (a) represent crustal-thickness data from seismic observations (circles) and rare-earth element modelling (diamonds) compiled by White et al. (2001). Same model setup as in Fig. 4.

matches well the electromagnetic images of melt distribution at the East Pacific Rise (Key et al., 2013): They show accumulation of melt along horizons dipping roughly at 45° with melt contents reaching 10%.

These processes, focusing melt towards the ridge axis, work against the flow of mantle. As they are all more effective close to the axis, whether because more melt is produced or the decompaction channel has a steeper slope, there is a critical distance from the axis where melt focusing is possible. In the models of Keller et al. (2017), that distance is roughly 120 km for a spreading rate of 3 cm/yr. The focusing distance may decrease as spreading rate increases due to stronger mantle flow and flatter isotherms. It may, for example, reach 75 km at the fast spreading East Pacific Rise (Gregg et al., 2009) and only 20 km at the ultraslow spreading Gakkell ridge (Wanless et al., 2014). The assumptions behind each of these numbers are so different, though, that it is difficult to know how compatible these estimates may be.

Fig. 6 compares the crustal thickness predicted at MOR to the measurements compiled by White et al. (2001). A melt extraction distance of 50 km matches the observations well, with crustal thicknesses around 7 km except for slow effective spreading rates of  $V_E \leq 10$  mm/yr. Longer extraction widths produce excessive crust, which could be compensated with lower mantle temperature or taking into consideration melt lost by crystallisation during melt extraction (Ghods and Arkani-Hamed, 2000). However, modelling the chemistry of mid-ocean ridge basalts favours temperatures as in this calculation or higher (Behn and Grove, 2015). The scatter in the data may be related to local variations in mantle temperature, which may reach  $\pm 200^\circ$  (Dalton et al., 2014). Increasing the mantle potential temperature by 200°C, as may be appropriate for the Hadean or Archean Earth (Sleep and Windley, 1982; Bickle, 1986; Abbott et al., 1994; Herzberg et al., 2010), increases the predicted crustal thickness to 25 km (Fig. 6). Such a thick crust would be quite buoyant and difficult to subduct, potentially explaining the difference of geodynamic regime between the Archean and the present (Korenaga, 2006; Korenaga and Korenaga, 2008). In particular, it may be impossible to maintain a plate-tectonics regime on a hotter Earth, with thick oceanic crust: The planet may enter a stagnant-lid mantle convection mode (Sleep, 2000, and see Section 5.2). But even on present-day Earth the upper-mantle temperature underneath the MOR system varies significantly. On the one hand, high temperatures approaching 1500°C have been deduced underneath certain locations along the MOR system, especially at the hotspots of Saint Paul/Amsterdam and Iceland (Dalton et al., 2014), where indeed the oceanic crust is several kilometres thicker than the reference value of 7 km. On the other hand, an anomalously cold mantle generating thin crust may explain the anomalously deep seafloor of the Antarctica-Australia Discordance (Klein et al., 1991; Holmes et al., 2008).

### 2.3. Preparing the plate: near-axis modification

Melting leaves behind a solid residuum that is compositionally different from the original mantle (Workman and Hart, 2005; Warren, 2016). This depletion will be important for the more mature oceanic plate as it provides an initial stratification that does not depend on age. There are several aspects to this depletion. First, as we have seen, the residuum is olivine-rich compared to “standard” mantle. This makes it harder to melt and perhaps slightly more viscous than regular mantle (Farla et al., 2013; Hansen and Warren, 2015). In addition, volatiles, such as water and carbon dioxide, are preferentially incorporated into the melt. Even though the volatile content of Depleted MORB-source Mantle (DMM) is low (Hirth and Kohlstedt, 1996; Warren and Hauri, 2014), there are clear chemical traces of a small amount of melting under hydrous condition in MOR basalts (Asimow and Langmuir, 2003). The amount of such hydrous melt is limited by the associated drying of the mantle upon melting. Even though this melt is not volumetrically dominant at MORs over dry melting, it causes the resulting mantle to become progressively dried between 100 and 60 km depth. Such a dry, ~80-km thick lithosphere has, for example, been detected near the axis of the East Pacific Rise (Evans et al., 2005) and at the NoMelt-experiment site in the central Pacific Ocean (Sarafian et al., 2015).

Carbon dioxide is also likely to induce melting at even greater depth, around 200 km (Dasgupta et al., 2013). This carbonatite melt produced at such depth is very low-volume (Dasgupta and Hirschmann, 2006) and should have a strong effect on mantle properties only if its dihedral angle is close to zero (Dasgupta et al., 2013; Yoshino et al., 2009). Even though carbonatite melt facilitates the development of melt extraction channels (Keller and Katz, 2016), most of it is likely present far enough from the axis that it will not be extracted at the MOR.

If melt does rise to the level of a shallow permeability barrier, the crystallisation products locally modify the composition of the lithosphere. Crystallisation products have been identified in oceanic and ophiolitic peridotites, whether in the form of pyroxenite veins (Dantas et al., 2007; Warren, 2016) or more diffuse refertilisation (Johnson and Dick, 1992; Hellebrand et al., 2002; Le Roux et al., 2007; Müntener et al., 2009). Therefore, the oceanic lithosphere is not simply the residual of melting, but has been metasomatised by the time it exits the MOR system. Metasomatised lithosphere has, as an example, been called for to explain the origin of the mantle reservoir dubbed EM2 (Workman et al., 2004). In addition, Lizarralde et al. (2004) found that the seismic velocity of the top 30 km of the oceanic lithosphere far off the ridge axis is consistent with gabbro being mixed with mantle

peridotite. The amount of gabbro is apparently higher where the lithosphere was formed at a slow spreading centre than at a fast spreading centre. It can be equivalent to 1.5 km of crustal material spread over approximately 30 km.

As water is an incompatible element, refertilisation by melt crystallisation does not increase the water content of the lithosphere until crystallisation is nearly complete. By contrast, hydrothermal circulation has the potential of hydrating the lithosphere; whether it can reach significant depths at a MOR remains a matter of debate. Seawater circulates in the upper reaches of the oceanic crust in convection cells driven by on-axis magmatic activity (e.g., Alt, 1995; German and Lin, 2004) and has important implications: Fluid-rock interaction generates valuable mineral deposits (Tivey, 2007), modifies the chemistry of the oceans (Von Damm *et al.*, 1985; Kump, 2008; German *et al.*, 2016), and changes the thermal state of the growing oceanic plate (Sleep, 1975; Morgan and Chen, 1993; Cherkaoui *et al.*, 2003; Iyer *et al.*, 2010; Roland *et al.*, 2010). If hydrothermal circulation were not included in the results of Fig. 6, the predicted crust would be one or two kilometres thicker.

Most studies of hydrothermal circulation at MORs have focused on the near-axis environment (e.g., Theissen-Krah *et al.*, 2016), where it is most intense and carries most heat. Circulation there is limited to depths shallower than magma chambers, and therefore has a limited effect on the composition of the lithosphere. However, there is chemical and geological evidence of near-axis hydrothermal circulation throughout the crust (McCollom and Shock, 1998; Bosch *et al.*, 2004; Gillis *et al.*, 2005; Hasenclever *et al.*, 2014; Harris *et al.*, 2017) and shallow circulation over older lithosphere (Fisher *et al.*, 2003; Fisher and Herzen, 2005). Hydrothermal circulation requires relatively high permeability rocks. Where the crust is sedimented, this requires passageways, often provided by seamounts or basement highs, through the low-permeability sediment layer (Hutnak *et al.*, 2006; Winslow and Fisher, 2015). Likewise, to reach the bottom of the crust, sufficient permeability is required. Nicolas *et al.* (2003) argue that a network of microcracks parallel to the ridge plane enables fluid circulation to the lower crust. However, deep hydrothermal circulation is not present in every MOR (Faak and Gillis, 2016) and what controls its occurrence is not yet well understood.

If fracturing is key to enable hydrothermal circulation, active faulting, detected both by structural analysis and by seismic activity, may be associated with the presence of fluids. At slow spreading centres, long-lived detachment at the axis can reach the middle crust and provide fluid pathways (Tucholke *et al.*, 1998; deMartin *et al.*, 2007; Cannat *et al.*, 2009). Serpentine produced by interaction between mafic rocks has been recovered from the detachment surface (Karson *et al.*, 2006). At ultraslow spreading centres, microearthquakes can reach into the mantle (Schmid and Schlindwein, 2016), and hydrothermal activity is surprisingly high (Edmonds *et al.*, 2003; Pedersen *et al.*, 2010). Serpentinisation is exothermic and the heat release may drive hydrothermal circulation at ultraslow spreading centres (Baker *et al.*, 2004; Cannat *et al.*, 2010), as in the famous “Lost City” vent site (Kelley *et al.*, 2001). Most earthquakes in other locations are related to transform faults. Therefore, transform faults may serve as conduits of fluids into the lithosphere. Seismic moment release implies that an aseismic material, likely serpentine, coats much of the fault surface (Boettcher and Jordan, 2004). As earthquakes at transform faults can reach several tens of kilometres depth, it is likely that fluid can penetrate well into the mantle.

The consequence of hydrothermal circulation is that the young oceanic plate is probably hydrated at least to the depth of magma chambers at fast spreading centres, and likely throughout the crust at slow to ultraslow spreading centres. The mantle can also be hydrated, but this hydration is likely restricted to transform faults.

#### 2.4. Spreading-ridge inheritance

While on the continents, rifts typically retain at least a fraction of the original lithosphere, extension at MORs has proceeded to the extent that all preexisting lithosphere is now far from the ridge. The oceanic lithosphere created at MORs is a blank slate on which the thermal, mechanical, and chemical processes described in the previous section leave their imprint until the plate is recycled at a subduction zone.

Or is it? While extension stretches the lithosphere to its breaking point and crust is formed by melting of the mantle underneath the ridge, traces of preexisting lithosphere have been found. First, the segmentation of the ridge axis can often be followed to the rifted margin. Second, there is mounting evidence for continental crust or lithospheric materials involved in a MOR (as we describe in detail below).

Young spreading centres like the Red Sea, the Gulf of Aden, or the Gulf of California clearly follow the shape of surrounding continental margins (Leroy *et al.*, 2010), whether this segmentation is the result of preexisting zones of weakness in the continent (Taylor *et al.*, 2009; Bellahsen *et al.*, 2013) or deeper thermal or compositional heterogeneities in the nascent spreading centre (Bonatti, 1985; Lizarralde *et al.*, 2007; D’Acremont *et al.*, 2010; Almalki *et al.*, 2016). Transform fault initiation has been modelled in analogue (Oldenburg and Brune, 1972; Katz *et al.*, 2005) and in numerical experiments (Hieronymus, 2004; Choi *et al.*, 2008; Gerya, 2010; Gerya, 2012; Püthe and Gerya, 2014). In these models, transform faults appear as rotated ridge segments sheared by along-strike variations in spreading-centre asymmetry accompanied by weakening of the sheared lithosphere. While, locally, there is evidence of segmentation linked to spreading asymmetry (Gernigon *et al.*, 2015), it has been ruled out in other locations (Parson *et al.*, 1990) and it remains to be seen if this view of segmentation is compatible with the limited spreading asymmetry observed globally (Müller *et al.*, 2008a, see Fig. 3). The circumstances under which segmentation is controlled by this dynamic instability or by preexisting conditions also remain to be determined.

What is clear is that, at least in some cases, segmentation can be stable over very long duration. For example, the St. Paul and Romanche fracture zones in the Atlantic Ocean can be followed all the way to prominent offsets in the coasts of Western Africa and South America (e.g., Müller and Roest, 1992). Smaller offsets can be perturbed by ridge jumps or changes in plate motions (Sæmundsson, 1974; Wilson *et al.*, 1984; Wilson and Hey, 1995; Hardarson *et al.*, 1997; Müller *et al.*, 2001), but even so, they can be preserved for tens of millions of years (Briais and Rabinowicz, 2002). The sinuosity of the Gakkel ridge, for example, follows closely the shape of the Siberian margin and the conjugate Lomonosov Ridge, 40 Myr after spreading started (Vogt *et al.*, 1979; Michael *et al.*, 2003).

How well segmentation is preserved in the long-term likely depends on strength heterogeneities in the lithosphere. Transform faults are both weak when sheared (Morgan and Parmentier, 1984; Behn *et al.*, 2002) and, as the lithosphere is thicker at the transform boundary than in the adjacent ridge (Morgan and Forsyth, 1988; Shen and Forsyth, 1992; Roland *et al.*, 2010), strong when compressed. Also, as the lithosphere is thinner at the ridge axis than in the older plate, stress is focused to the ridge axis. In addition, the lithospheric thickness gradient generates a ridge “push” that further drives extension at the preexisting axis (Forsyth and Uyeda, 1975).

Materials older than the ocean basin have occasionally been identified in the MOR system, showing that the ridge has not completely reset the preexisting lithosphere. Bonatti *et al.* (1996) documented sediments in the Romanche transform fault zone that are 20 Myr older than the opening of the Atlantic Ocean. In this case, it is possible that the extreme length of the transform boundary produces a lithosphere that is so thick that faults avoid propagating into this region. Instead, they curve and cut a lens-shaped region in the transform domain that is unaffected by MOR processes (Ligi *et al.*, 2002). Such lenses may be



present at the Romanche transform (900-km long) and at the Andrew Bain transform (750-km long) at the Southwest Indian Ridge, and their width can exceed 100 km (Ligi *et al.*, 2002).

Even older or continental material is increasingly being recognised at MORs. Torsvik *et al.* (2015) argued that the elevated  $^{87}\text{Sr}/^{86}\text{Sr}$  and  $^{207}\text{Pb}/^{204}\text{Pb}$  of Öraefajökull (Iceland) lava are evidence for assimilation of crustal crust by the rising MOR and plume produced melt. In this case, a continental fragment may have been separated from the Jan Mayen Microcontinent by a ridge jump. Proterozoic and Archean zircons recovered at Mauritius are also evidence for a continental fragment, in this case related to Madagascar, involved in recent MOR and plume activities (Torsvik *et al.*, 2013; Ashwal *et al.*, 2017). Both locations are characterised by plume-ridge interaction and accompanying ridge jumps. It is possible that some rifts are not stable for enough time to completely dissociate trapped continental fragment. Possible evidence for subcontinental lithosphere at the Lena trough has recently been refuted (Lassiter *et al.*, 2014). Therefore, ancient mantle involved in MORs appears to be linked to heterogeneity in the upwelling mantle (Liu *et al.*, 2008) or to ridge jumps (Torsvik *et al.*, 2015).

### 3. Ocean-plate cooling

Convection in the Earth's mantle, which drives the motions of the Earth's tectonic plates, occurs because it efficiently transports heat out of the mantle interior (e.g., Turcotte and Oxburgh, 1967). Indeed, most of Earth's heat loss ( $\sim 32$  TW out of a total of  $\sim 46$  TW) occurs within the ocean basins (e.g., Jaupart *et al.*, 2015) as the oceanic lithosphere cools and contracts moving away from the mid-ocean ridges. Most of the heat loss through the oceanic lithosphere happens on the flanks of the mid-ocean ridges: For example, 75% of oceanic heat flow arises from the 60% of seafloor that is younger than 66.5 Ma (Cenozoic ages; Davies and Davies, 2010). Not only does younger seafloor transfer more heat per unit area than older seafloor (e.g., Parsons and Sclater, 1977), but it also is more abundant in the ocean basins because it is less likely to have been already subducted (e.g., Rowley, 2002). However, the fraction of the seafloor covered by the ridge flanks depends on ridge length and plate speeds, both of which have changed with time as the tectonic configuration of ocean plates has evolved (Becker *et al.*, 2009; Coltice *et al.*, 2012). As a result, the total oceanic heat flow during the Mesozoic and Cenozoic also changes with time (Lloyd *et al.*, 2007), which probably leads to mantle temperature changes. Here, we examine how oceanic heat flow and other seafloor characteristics are affected by ocean basin tectonics during their lifetime, moving from their birth at mid-ocean ridges to their death at trenches.

#### 3.1. Heat flow and subsidence of the oceanic plates

The volcanism of the mid-ocean ridges directly exposes hot mantle rocks to cold seawater. As the ocean plates move away from ridge axes at a few cm/yr, the plates conduct heat from the mantle interior into the surface environment. This loss of heat from the uppermost mantle has two important consequences. First, the cooling stiffens the rocks of the lithosphere (Kohlstedt *et al.*, 1995), which causes the tectonic plates to behave both rigidly and elastically. Second, as the lithosphere thickens and cools moving away from the ridge, the rocks of the lithosphere thermally contract, producing a net seafloor subsidence of several kilometres between the mid-ocean ridge crest and the abyssal plains. The resulting relationship between heat flow, seafloor depth, and seafloor age moving away from the mid-ocean ridges has become a classic problem in Geodynamics (e.g., Parsons and Sclater, 1977), the details of which are still being debated (e.g., Korenaga and Korenaga, 2016).

For seafloor younger than about 70 Ma, the depth of the seafloor depends linearly on the square root of seafloor age (Korenaga and Korenaga, 2008), as expected based on the solution to cooling of a semi-infinite halfspace (e.g., Davis and Lister, 1974). However, the slope of this relationship (rate of subsidence) depends on several parameters

including mantle temperature, thermal expansivity, and thermal conductivity, all of which are at least somewhat uncertain for the upper mantle and may vary spatially (Marty and Cazenave, 1989) or with plate age (Korenaga and Korenaga, 2008, 2016; Hasterok, 2013; Adam *et al.*, 2015). Mantle temperature, in particular, has been shown to vary along the ridge axis (Dalton *et al.*, 2014), which should lead to variations in subsidence rate away from the ridge. Given this complexity, the rate of seafloor subsidence is usually constrained empirically from bathymetric observations (Parsons and Sclater, 1977; Stein and Stein, 1992; Crosby and McKenzie, 2009), especially after accounting for topographic variations associated with sedimentation and volcanism (Korenaga and Korenaga, 2008). However, different analysis methods, as well as other poorly constrained contributions to topography, such as crustal thickness (Winterbourne *et al.*, 2014) or dynamic topography (Adam *et al.*, 2015; Hoggard *et al.*, 2016; Watkins and Conrad, 2018) variations, have led to a range of proposed seafloor subsidence rates, varying between  $\sim 320$  m/Myr $^{1/2}$  (Korenaga and Korenaga, 2008; Crosby and McKenzie, 2009) and 415 m/Myr $^{1/2}$  (Hasterok, 2013). Regional analyses of specific ridge flanks show an even wider range of variability (e.g., Marty and Cazenave, 1989; Hillier and Watts, 2005; Watkins and Conrad, 2018). The different proposed subsidence curves approximately follow the lower envelope of seafloor depth observations (Fig. 7a) because bathymetry is preferentially shallowed by the accumulation of sediments and volcanic debris. These different subsidence curves are associated with different lithospheric cooling models that correspond to a range of possible rates of heat transfer across the oceanic plate (e.g., Fig. 7b).

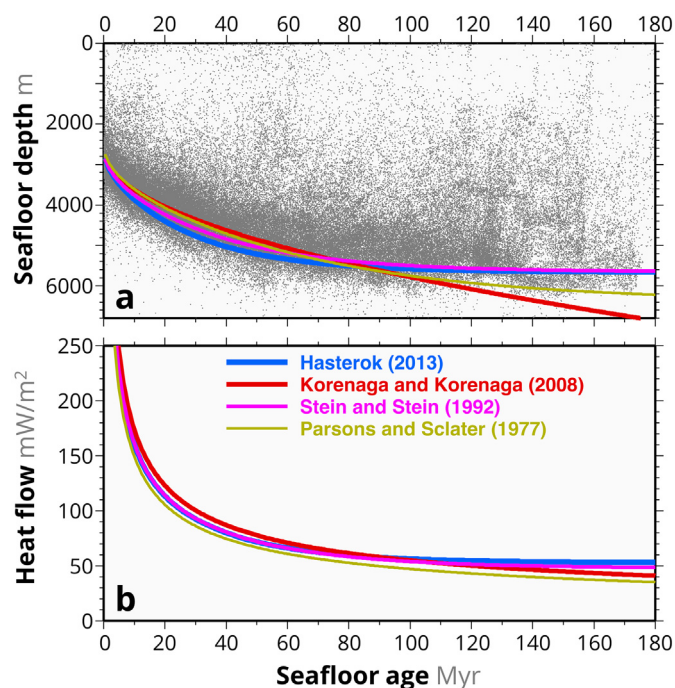


Fig. 7. Variation of (a) seafloor depth and (b) mantle heat flow as a function of seafloor age for four different models for the cooling of seafloor away from mid-ocean-ridges, of which three are constrained primarily by seafloor depth observations (Korenaga and Korenaga, 2008; Parsons and Sclater, 1977; Stein and Stein, 1992), and one is primarily constrained by heat-flow observations (Hasterok, 2013) (because heat flow provides no constraint on ridge depth, we assume a value of 2550 m). For comparison, we show randomly-selected seafloor depth observations (grey dots, representing 1.65% of the seafloor) from the 4-min ETOPO1 topography model (Amante and Eakins, 2009) as a function of seafloor age (Müller *et al.*, 2008a). Although the four lithospheric cooling models predict (a) relatively large differences in the rate of seafloor subsidence away from the ridge, they predict (b) more tightly constrained patterns of decreasing heat flow away from the ridge.

Seafloor older than about 70 Ma continues to subside, although at rates significantly slower than those that apply closer to the ridge. Indeed, the wide variability among the proposed subsidence curves for seafloor ages greater than ~100 Ma (Fig. 7a) partly reflects the challenge of assigning average behaviour to older seafloor, which is significantly less prevalent in the ocean basins, and generally more obscured by volcanism and sediments, compared to younger seafloor. The “flattening” of the seafloor depth curves beyond ~70 Ma (Colin and Fleitout, 1990) may reflect deviations from halfspace cooling induced by the introduction of additional heat to the lithospheric base once the lithosphere reaches a thickness of about ~100 km (e.g., Stein and Stein, 1992). This added heat prevents the plate from thickening further, and may result from small-scale convection beneath the plate that initiates once the lithosphere becomes convectively unstable (e.g., Davaille and Jaupart, 1994; Huang *et al.*, 2003; Doin and Fleitout, 1996, 2000; Hillier, 2010). Because this additional heat is eventually conducted through the plate, thermal models that include a maximum plate thickness (e.g., Stein and Stein, 1992; Hasterok, 2013) also predict higher heat flow for older seafloor ages compared to those that allow plates to grow thicker (e.g., Parsons and Sclater, 1977, Fig. 7b). On the other hand, Korenaga and Korenaga (2008) noted that the littering of older seafloor by volcanic debris makes it difficult to statistically confirm seafloor flattening, and instead proposed a more gradual subsidence across all seafloor ages, which significantly deepens the oldest seafloor (Fig. 7a).

Although the different thermal models of oceanic lithosphere predict varying patterns of seafloor subsidence (Fig. 7a), differences between the heat-flow curves predicted by these models are relatively smaller (Fig. 7b). Indeed, the largest differences are for the oldest lithosphere, which covers only a relatively small portion of seafloor. Therefore, the total heat flow predicted by these models is relatively well-constrained, and indeed Jaupart *et al.* (2015) considered these uncertainties and estimated a total oceanic heat flow (excluding contributions from hotspots) of ~29 TW, with a few TW of uncertainty. This range is consistent with the convolution of the heat-flow curves (Fig. 7b) with the present-day seafloor age map (Fig. 8a), for which we find a range of total seafloor heat flow between 26.5 TW (Parsons and Sclater, 1977), 29 TW (e.g., Stein and Stein, 1992; Hasterok, 2013) and 31 TW (Korenaga and Korenaga, 2008). The older thermal model (Parsons and Sclater, 1977) predicts smaller heat flow (for all ages; Fig. 7b) because that model assumes cooler mantle temperatures and smaller thermal conductivity. The Korenaga and Korenaga (2008) model predicts higher heat flow along the ridge flanks compared to other models (Fig. 7b) and therefore predicts higher total heat flow. Jaupart *et al.* (2015) estimate that hotspots add another 2–4 TW of heat flow, and suggest that the present-day seafloor is cooling the mantle at a rate of  $32 \pm 2$  TW.

### 3.2. Basin evolution over geologic time

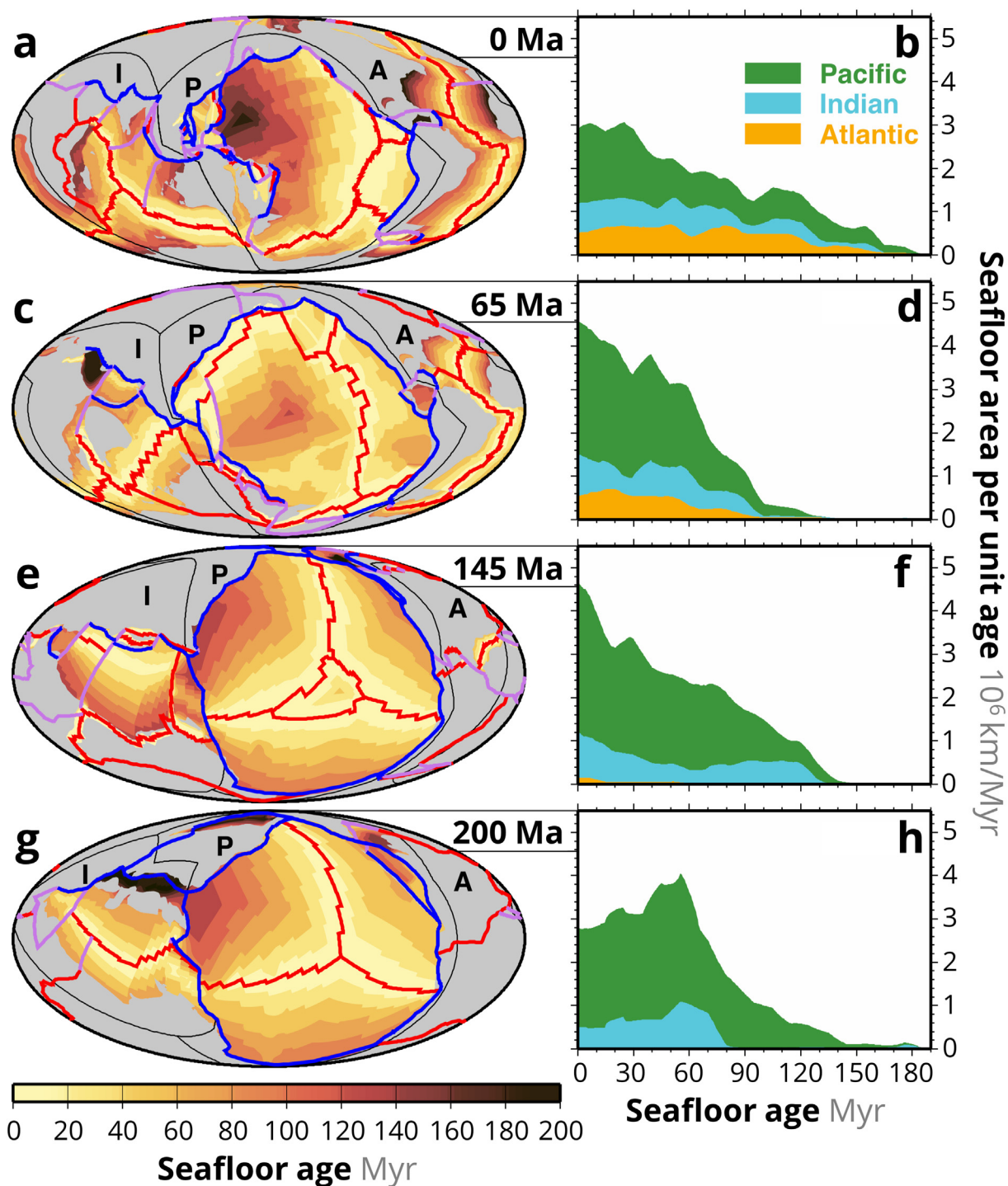
Because oceanic heat flow is so dependent on seafloor age (Fig. 7b), total oceanic heat flow is considerably dependent on the tectonic configuration of the seafloor, which has changed with time. Fortunately, tectonic reconstructions developed during the past decade have included models for past seafloor ages (Xu *et al.*, 2006; Müller *et al.*, 2008b, 2016; Seton *et al.*, 2012), which enables us to estimate oceanic heat flow for past times (e.g., Loyd *et al.*, 2007). For this, we have computed the area-age distribution of the seafloor (Fig. 8, right column) for the seafloor reconstructions of Müller *et al.* (2016) for the Mesozoic and Cenozoic (Fig. 8, left column). These reconstructions exhibit significant variations during this timeframe as the Atlantic basin grows at the expense of the Pacific. Most importantly, the Pacific basin transitions from having three primary ridges at 200 Ma (Fig. 8g), to a central (Pacific) plate surrounded by several other ridges during the Cretaceous (Fig. 8e and c), and then to a single dominant ridge system today (Fig. 8a) as many of the peripheral ridges are lost to subduction. These

trends cause the area of the ridge flanks (seafloor younger than ~60 Ma) to first increase during the Cretaceous, and then decrease during the Cenozoic toward the present (Fig. 8, right column). The proportion of oldest lithosphere (seafloor older than ~120 Ma) conversely decreased during the Cretaceous, and increased afterwards. Indeed, the present-day seafloor (Fig. 8a and b) has less ridge-flank area and more elderly lithosphere than at any point in the previous 200 Myr (Fig. 8).

Tectonic changes of Earth's seafloor (Fig. 8) can lead to significant geologic changes on Earth's surface. For example, the younger average age of the seafloor during the second half of the Cretaceous (Fig. 8) should have produced an on-average shallower seafloor, and higher sea level, during that time. We estimated the sea level changes associated with the tectonic evolution of the seafloor by convolving the seafloor age-depth curves (Fig. 7a) with the area-age distributions for past times (Fig. 8), assuming constant water volume and isostatic compensation. This calculation predicts, similar to previous studies (e.g., Kominz, 1984; Xu *et al.*, 2006; Müller *et al.*, 2008b; Conrad, 2013; Dutkiewicz *et al.*, 2017), that sea level during the mid-Cretaceous was several hundred meters higher than it is today (Fig. 9a). Despite the ~100 m uncertainty associated with the choice of a seafloor age-depth relationship (Fig. 9a), this prediction largely explains global continental transgressions (e.g., Torsvik and Cocks, 2016b) that have been stratigraphically constrained to represent a sea level highstand of up to ~250 m during the Cretaceous (Haq, 2014). Indeed, tectonic changes to Earth's ridge system are thought to be the primary driver of Phanerozoic sea-level change, producing fluctuations of hundreds of meters occurring on timescales of hundreds of millions of years (Müller *et al.*, 2008b; Conrad, 2013).

Because most of Earth's heat loss occurs through the seafloor, tectonic changes in the ocean plates can drive thermal changes in Earth's convecting mantle. Applying the heat-flow vs. seafloor-age curves (Fig. 7b) to the past seafloor (Fig. 8), we compute changes in total oceanic heat flow as a function of time (Fig. 9b). The different heat-flow vs. seafloor-age curves all yield a similar history, and differ from each other by at most ~10% (up to  $\pm 4$  TW). Tests using alternative tectonic models (e.g., Seton *et al.*, 2012) similarly indicate ~10% uncertainty in magnitude, but not in Cenozoic and Mesozoic trends. In each case, we find that the the highest heat flow coincides with the sea level highstand during the mid to late Cretaceous, when the ridge-flank area was the greatest (Fig. 8c–f) and the smallest heat flow occurs at 200 Ma and today. Indeed, during the mid-Cretaceous, the oceanic heat flow was about 30–40% larger than it is today (maximum of ~40 TW at 120 Ma, compared to ~30 TW today, Fig. 9b), and for much of the Cretaceous, the oceanic heat flow was ~20% larger than today. Nearly all of this increase is associated with tectonic changes in the Pacific basin, because contributions from the Atlantic and Indian basins are changing only monotonically during this time period (Fig. 9b).

Such large variations in heat flow are the natural result of variations in the tectonic configuration of the seafloor, and they should result in changes in the temperature of the convecting mantle. Assuming a heat capacity of  $1250 \text{ J kg}^{-1} \text{ K}^{-1}$  (Jaupart *et al.*, 2015) and an upper mantle mass of  $10^{24} \text{ kg}$ , we estimate that a 25% increase in average heat flow above 31 TW that is sustained for 40 Myr should cool the upper mantle by an extra 8°C compared to the 31 TW of background cooling. This temperature change may be amplified if it is regionally confined (e.g., within the Pacific basin), and could slow down upper-mantle dynamics if upper mantle rocks become sufficiently stiffened. Such a negative feedback mechanism may limit the lifetime of any period of accelerated heat flow from the tectonic plates, and may be linked to cyclicity associated with supercontinental cycles (Grigné *et al.*, 2005). On the other hand, the mid-Cretaceous was a period of intense Pacific volcanism (Coffin and Eldholm, 2005), which suggests that the amplified heat flow may reflect a tectonic response to a period of elevated mantle temperatures beneath the Pacific basin (Ricciardi and Abbott, 1996). Regardless of whether these temporal changes are driven by seafloor



**Fig. 8.** Tectonic reconstructions and seafloor age distributions for (a–b) the present-day (0 Ma), (c–d) the beginning of the Cenozoic (65 Ma), (e–f) the beginning of the Cretaceous (145 Ma), and (g–h) the beginning of the Jurassic (200 Ma), computed using the tectonic reconstruction of Müller *et al.* (2016). For each age, reconstructed plate boundaries (red, blue, and purple lines refer to divergent, convergent, and transform boundaries, respectively) and seafloor ages (colours in seafloor areas) are shown in map view (left column). Area-age distributions for seafloor areas (right column) reflect changes in seafloor tectonics among the Pacific, Indian, and Atlantic basins, which are denoted in the maps (left column, bounded by thin black lines and labeled as P, I, and A, respectively) and the histograms (right column, cumulative contributions from each basin are denoted by green, blue, and orange colours).

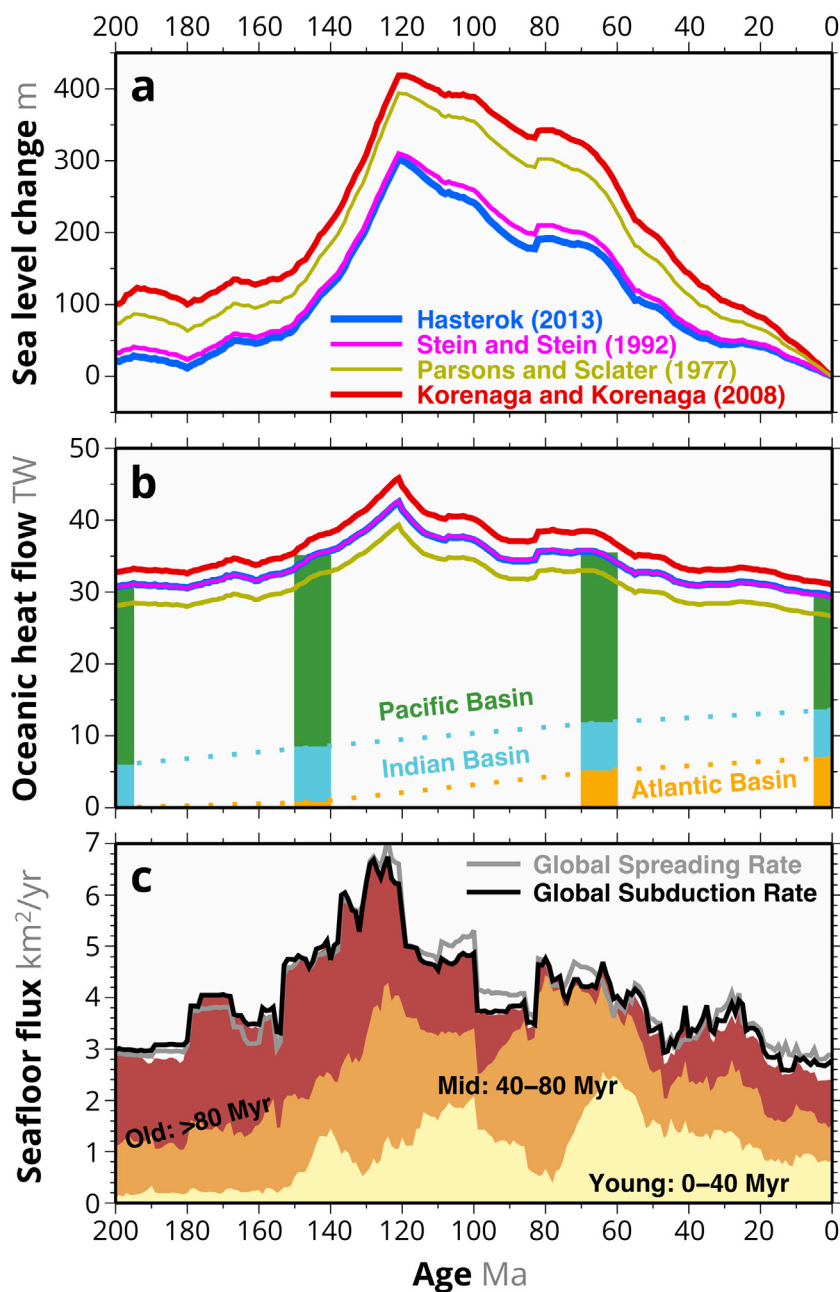
tectonics or mantle dynamics, it is clear that oceanic heat flow may be elevated by at least 25% during extended periods of several tens of millions of years.

### 3.3. Preparation for subduction

Eventually, all oceanic plates subduct into the mantle, and bring

with them the lithospheric thermal structures and surface debris (volcanics and sediments) that they accumulated during their lifetimes. The structures that oceanic plates bring to the subduction zone therefore depend on their tectonic history, which has changed with time as the ocean basins have evolved. To characterise such changes, we have computed rates of subduction, and ages of subducting material, as a function of time since the Mesozoic from the tectonic model of Müller





**Fig. 9.** Variations in seafloor characteristics during the past 200 Ma. (a) Changes in average seafloor depth, expressed here as changes in sea level relative to the present-day (assuming isostatic compensation of constant seawater volume) for four lithospheric cooling models (shown in Fig. 7) applied to the Müller et al. (2016) seafloor age reconstruction (Fig. 8). (b) Oceanic heat flow for the same four models, with the relative contributions of the Pacific, Indian, and Atlantic basins for the four reconstructions shown in Fig. 8. (c) Changes in the total area seafloor consumed by subduction, distinguished by the relative contributions from young (0–40 Myr), middle-age (40–80 Myr), and old (> 80 Myr) seafloor. The sum of these contributions is slightly less than the global total (black line) due to some subduction of some seafloor with unassigned ages. The total subduction rate (black line) also differs slightly from the total ridge spreading rate (grey line) because small amounts of continental convergence or divergence offset the seafloor-area budget.

et al. (2016) (Fig. 9c). We find that the peak rate of subduction occurred just before the peak in global heat flow ( $\sim 150 - 120$  Ma) (Fig. 9c) and involved subduction of a large fraction of seafloor that is older than 80 Myr. Since the late Cretaceous, much younger seafloor has been subducting, with at least one third of subducting seafloor being younger than 40 Myr for much of the Cenozoic (Fig. 9c).

Constraints on the relative areas of old and young lithosphere being subducted (Fig. 9c) suggest that the Jurassic and early Cretaceous (that is, times before  $\sim 120$  Ma) featured ample subduction of old lithosphere and relatively little subduction of young lithosphere. By contrast, the Cenozoic featured subduction of a greater fraction of young lithosphere, which should decrease plate-driving forces like slab pull, and perhaps helps to explain the slowdown of global spreading rates since 120 Ma (Fig. 9c). Because subduction of old lithosphere also transports more water (e.g., van Keken et al., 2011) and possibly more carbon (Dasgupta et al., 2010; Thomson et al., 2016; Clift, 2017) into the deep mantle compared to younger lithosphere, we also expect that the mantle accumulated more of these volatiles before the mid-Cretaceous than it has

during the Cenozoic. This modulation of volatile cycling by ocean-basin tectonics should have important consequences for long-term changes in Earth's climate (van der Meer et al., 2014), sea level (Conrad, 2013), and deep mantle dynamics (Crowley et al., 2011; Korenaga, 2011; Sandu et al., 2011).

#### 4. Ocean-plate destruction

Based on current seafloor age distribution, oceanic plates do not grow older than about 200 Million years (see Section 3.2). The age of the oldest oceanic-plate portions remaining at the surface, however, varies through time and has been less during Earth's most recent history (Fig. 8). The destructive process limiting their age is subduction, the surface-plate immersion into the deep planetary interior. Upon arriving at the subduction trench, the thermally contracted plate is at its coldest, thickest and heaviest state (as discussed in Section 3.3). The resulting large buoyancy contrast with its surrounding mantle turns the subducting plate into the dominant dynamic driver of global deformation

on our planet (Forsyth and Uyeda, 1975; Davies, 1981; Conrad and Lithgow-Bertelloni, 2002), clearly exceeding contributions from the bottom boundary layer that feed active mantle upwellings (Zhong, 2006), and therefore confining the latter's importance to regional scales (Lithgow-Bertelloni and Silver, 1998; Cande and Stegman, 2011; Becker and Faccenna, 2011) or supportive roles on global scale (Steiner and Conrad, 2007; Faccenna *et al.*, 2013). Subduction of an oceanic plate, slowly evolving over Millions of years, is strongly controlled by deformation mechanisms acting on the tiny spatial scale of crystals and over the fleetingly short temporal scale of earthquakes. Subduction zones are exceptionally diverse, with large variations present between individual subduction zones, and even along lateral portions of individual subducting plates. Indeed, subduction, the fate of an oceanic plate, is intriguingly complex.

Oceanic plates find their way back into the mantle at convergent margins, which span in total around 42 000 km on present-day Earth. Along around 40% of this total length, oceanic plates subduct below other oceanic plates (Leat and Larter, 2003). Along the remaining around 60% of total trench length, oceanic plates subduct below a lighter, thicker continental plate. A subduction zone is often conceptualised in a vertical, two-dimensional cross-section: A purely trench-perpendicular moving oceanic plate with one given plate age at the trench, one given thickness, one given composition sinks in the mantle with one given polarity and one given dip angle, and it might or might not penetrate directly into the lower mantle. A subduction zone is, however, one of the characteristically three-dimensional large-scale features of the Earth (see e.g., Jadamec, 2016, and references therein). The clear and distinct 3-D structure of the oceanic plate is most-easily observed at the surface, where most subduction trenches are arcuate (e.g., Sdrölias and Müller, 2006).

#### 4.1. Necessities for subduction

##### 4.1.1. Why the plate sinks

The basaltic rocks making up the upper-most part of the oceanic plate are its lightest part; in fact, even lighter than the hot asthenosphere just underneath the plate. The plate acts, however, as an integral system comprising the light basaltic rocks (i.e., the crust) together with the significantly heavier sub-crustal rocks of the shallow, rigid part of the mantle. Together they form the mechanically cohesive lithosphere, which can become, as a whole, denser than the underlying mantle rocks: Eventually, the cold oceanic plate becomes gravitationally unstable and wants to sink on its own. During the sinking, the cold oceanic plate becomes even denser due to the pressure increase and the compressibility of its rocks and transformation of the crust to eclogite. The oceanic plate remains therefore heavier than the surrounding mantle as long as it is colder; it sinks and sinks.

##### 4.1.2. Will the plate sink?

Subduction should be occurring everywhere, given that the cooling oceanic plate becomes quickly (at a plate age of around 20 Myr) heavier than the underlying mantle (e.g., Davies, 1992). Yet, we have discovered only one single rocky planetary body (Earth) with viable, plate-driving subduction among many others at the present day. Even Venus, similar to Earth in many respects, does not have active, plate-shifting tectonics even though it shows signs of short, subducted plate portions (Sandwell and Schubert, 1992; Schubert and Sandwell, 1995; Davaille *et al.*, 2017). Also, numerical models applying the rheologic laws suitable for the mantle preferentially yield a stagnant, mostly rigid lid – that does not subduct – above a separately convecting mantle (Nataf and Richter, 1982; Stengel *et al.*, 1982; Moresi and Solomatov, 1995; Solomatov, 1995; Ratcliff *et al.*, 1997). All these reasons suggest that the development of a mobile lid seems indeed to be the special, rather than the normal, case for an Earth-sized, rocky planetary body.

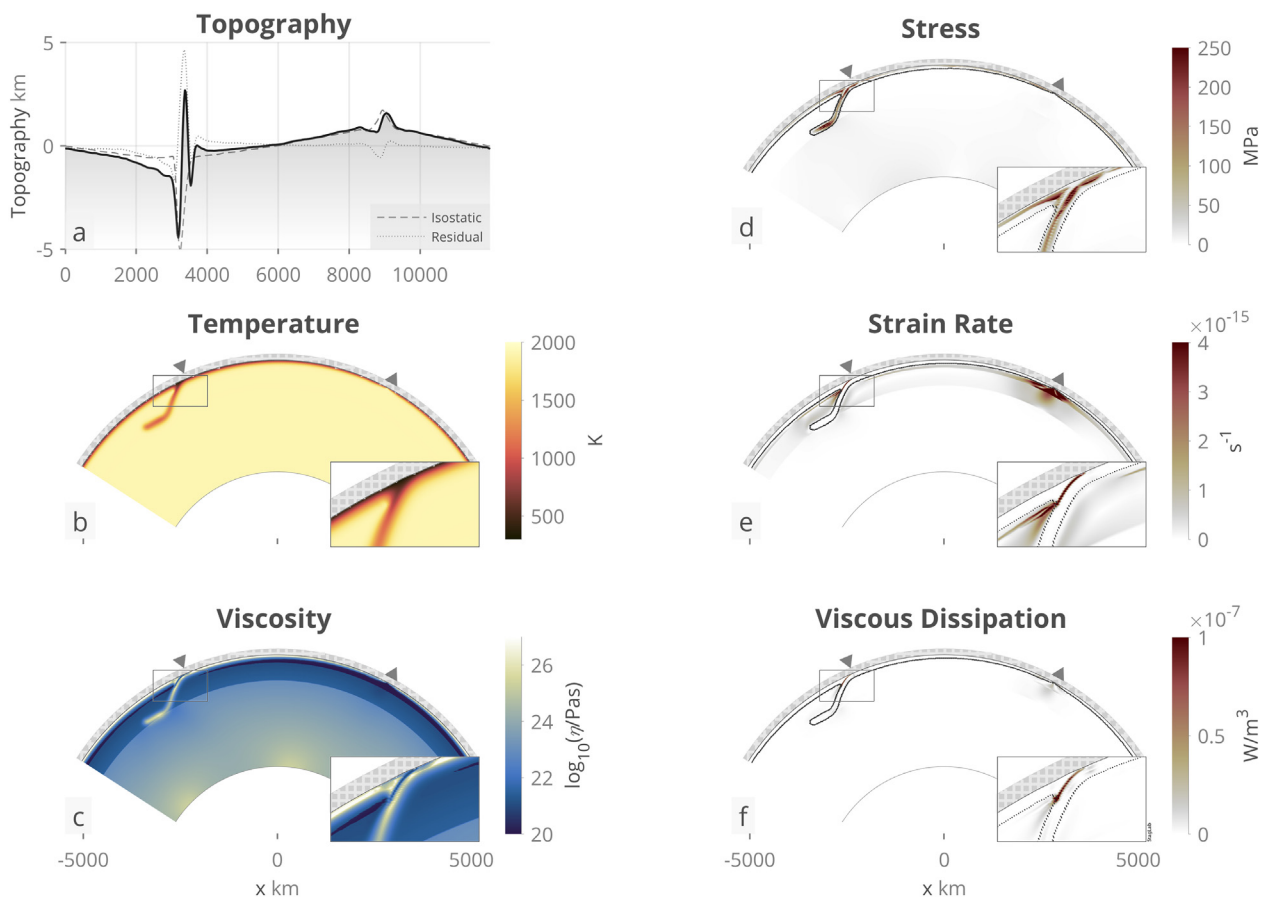
Understanding under what conditions the surface plate becomes mobile (or, in reverse, stagnant) is still not entirely clear. It is, however,

clear that starting (or stopping) mobile plate tectonics is strongly linked to the onset (or shut down) of subduction (e.g., Gerya, 2011; van Hunen and Moya, 2012). One of the most elusive parts of starting subduction is the necessity to initially break it. Many mechanisms have been proposed to facilitate breaking an intact surface plate and subsequent subduction initiation. They all cause, at least, either an additional forcing to the plate, a localisation of the existing forcing, or a weakening of the plate:

- Meteorite impact (Hansen, 2007)
- Sediment loading (Regenauer-Lieb *et al.*, 2001; Nikolaeva *et al.*, 2010)
- Major episode of delamination (Toth and Gurnis, 1998)
- Small-scale convection in the sub-lithospheric mantle (Solomatov, 2004)
- Interaction of thermo-chemical plumes with the lithosphere (Ueda *et al.*, 2008; Burov and Cloetingh, 2010; Burov and Gerya, 2014; Gerya *et al.*, 2015; Cramer and Tackley, 2016; Davaille *et al.*, 2017)
- Plate bending via surface topography variations (Cramer and Tackley, 2016)
- Elasticity of the plate (Toth and Gurnis, 1998; Regenauer-Lieb *et al.*, 2001; Hall *et al.*, 2003; Thielmann and Kaus, 2012)
- Preexisting transform fault (Gurnis *et al.*, 1998; Hall *et al.*, 2003; Nikolaeva *et al.*, 2010)
- Preexisting oceanic plateau (Niu *et al.*, 2003; Nair and Chacko, 2008)
- Addition of water or melt into the lithosphere (Hirth and Kohlstedt, 2003; Dymkova and Gerya, 2013)
- Grain-size reduction (e.g., Karato *et al.*, 1980, 1986; Bercovici and Ricard, 2005; Rozel *et al.*, 2011; Bercovici and Ricard, 2013, 2014)
- Void generation (Bercovici, 1998; Regenauer-Lieb, 1998)
- Shear-heating (Yuen *et al.*, 1978; Cramer and Kaus, 2010; Thielmann and Kaus, 2012; Lu *et al.*, 2015)

Of the many candidates, none seems to be capable of causing subduction initiation on its own. On the contrary, it seems likely that some sort of combination of the above mechanisms is needed to initiate subduction. It is also worth noting that subduction initiation from a fully intact initial plate might, however, not necessarily have happened on Earth: The sinking surface plates might have evolved continuously from the pre-existing overturn of an initial, shallow magma ocean (as further discussed in Section 5.2). Subduction initiation also becomes less enigmatic once multiple discrete plates have formed. The additional tectonic forces arising from the pull of multiple subduction zones and the presence of continental lithosphere seem to facilitate initiation of new subduction zones (e.g., Rolf and Tackley, 2011). The geologic record therefore hints at multiple initiations during recent geologic times. Most of them have occurred in the intra-oceanic setting of the Pacific basin during the Cenozoic (Gurnis *et al.*, 2004; Arculus *et al.*, 2015). New subduction zones also form by splitting from existing ones either by subduction polarity reversals, as is suggested for the South Sandwich subduction zone (Cramer and Tackley, 2014), or by simple splitting due to lithospheric heterogeneities (e.g., Duarte *et al.*, 2016). In any case this might lead to 'infection' of an intact, neighbouring ocean basin (Waldron *et al.*, 2014; Duarte *et al.*, 2016).

Once the plate is broken apart, one necessity that makes subsequent subduction so apparently difficult is that the oceanic plate has to be both weak and strong at the same time: It has to be weak on the outside (i.e., its outermost parts) to enable the necessary bending at the trench, and strong on the inside (i.e., in its core) to still be able to transfer the slab pull to the trailing surface plate (see e.g., Fig. 10). The bending of the plate is facilitated by both its free surface and its weak uppermost crustal layer. The plate surface can deform freely as it is covered only by low-density, low-viscosity water and/or air (Fig. 10a). While the free plate surface enables a gentler bending by creating a bulge in front of the subduction zone and a deep trench, the weak, brittle nature of the



**Fig. 10.** Dynamically self-consistent oceanic-plate model. It includes dynamically self-consistent formation, cooling and destruction. (a) The spreading ridge produces a positive surface topography, while the subduction zone produces a characteristic asymmetric surface topography with regional highs and lows (see Cramer et al. (2017) for more details including model assumptions). (b) While the plate cools gradually at the surface, it will be reheated when sinking back into the mantle. (c) The weak crustal layer is crucial for plate-interface decoupling, allowing for the (d) low-stress, (e) high-deformation zone that enables ongoing plate sinking that (f) reduces the resistance from the viscous dissipation. Model performed by the code StagYY (Tackley, 2008) and visualised with StagLab (<http://www.fabiocramer.ch/software>).

crust is the key part to enable a relatively strong plate bending to a typical bending radius of around 200–400 km (Wu et al., 2008; Buffett and Heuret, 2011). The strong, non-brittle plate core resists bending (Buffett, 2006), but is, on the other hand, the integral part that enables transferring the enormous stresses arising at depth to the surface.

For subduction to occur and be maintained, the net plate driving force must be positive (see Turcotte and Schubert, 2014; Gerya, 2011, and references therein). A net driving force originates from a negative slab-mantle buoyancy contrast (causing slab pull and suction), and the positive ridge topography (causing ridge push). Resistance arises from the deformation work needed for the plate to sink: The oceanic plate is coupled to some degree with the adjacent, colliding upper-plate and the surrounding mantle at depth. Compared to observations on Earth, the depth of the back-arc basin is generally overestimated in numerical models like the one shown in Fig. 10 due to overly strong slab suction at the base of the upper plate. Apart from the lubricating crustal layer itself (Lenardic and Kaula, 1994), a low-viscosity mantle wedge caused by dehydration of pulled-down wet sediments is a crucial ingredient to reduce the plate-plate and plate-mantle couplings significantly (e.g., Billen and Gurnis, 2001) and therefore leads to a more shallow back-arc basin (Cramer et al., 2017). Additional resistance to subduction arises due to the fact that some of the slab pull is consumed for plate bending (Buffett, 2006), even though numerical models indicate that the major part of viscous dissipation occurs via shearing in the subduction fault rather than bending (Conrad and Hager, 1999; Cramer et al., 2017).

#### 4.2. Sinking-plate characteristics

The actual amount and distribution of forces on the subducting oceanic plate and its structural composition is highly variable in space and time; and so is the way the plate sinks. Based on surface-plate velocities and the dominant seismic activity of the plate interface, it has become clear that the sinking of oceanic plates is always single-sided; only one plate sinks at a time, while the opposite plate remains at the surface. Its single-sidedness is probably the most defining characteristic of a subduction system and it has puzzled scientists for many decades. In fact, answering the question of why only one plate sinks at a time has been one of the major long-standing open questions of Earth Sciences and when put into words, “one of the greater mysteries in geodynamics” (Bercovici et al., 2000) until only recently. During that time, various agents have been proposed to cause the intriguing single-sidedness at subduction zones. Chemically light continents were the most often suggested candidates (e.g., Lenardic and Kaula, 1996), even though it is obvious that ocean-ocean convergent boundaries are typically single-sided too. Others suggested that double-sided plate sinking would be interrupted when, during subduction, one plate has to bend significantly more than  $90^\circ$  due to variations in surrounding mantle flow (Turcotte and Schubert, 2014). However, dynamically self-consistent global models of mantle convection clearly showed that double-sided subduction is dominant even during phases of increased subduction asymmetry (e.g., Tackley, 2000). A major insight was then given by regional modelling: Gerya et al. (2008) came to the conclusion



that high plate strength and the presence of water (with its plate-interface weakening effect) on Earth are major factors controlling a self-sustaining, single-sided subduction processes.

The breakthrough came, however, finally from the combination of a weak plate interface and a free surface. On their own, the two physical complexities were no unknowns: The important effects of the weak plate interface (Gurnis and Hager, 1988; King and Hager, 1990; Zhong and Gurnis, 1995; Lenardic and Kaula, 1994; Moresi and Solomatov, 1998; Tagawa *et al.*, 2007; Gerya *et al.*, 2008) and the free surface (Gurnis *et al.*, 1996; Schmeling *et al.*, 2008; Kaus *et al.*, 2010) had been pointed out already. However, it was their combination that turned out to be the key for a subduction zone's characteristic (self-consistent) single-sidedness (Cramer *et al.*, 2012), with a weak, hydrated crustal layer preventing strong coupling between upper- and lower plate and the free surface relieving some of the plate bending stresses and ensuring an intact, strong plate core (see *e.g.*, Fig. 10). Other important factors to support temporally stable single-sided sinking of an oceanic plate are high overall plate strength, a constantly, relatively thin plate, and a relatively strong decoupling from the underlying mantle (Cramer and Tackley, 2015). These conditions are elegantly enabled via small-scale convection (Conrad and Hager, 2001) in a low-viscosity asthenosphere (Richards *et al.*, 2001).

Even though the sinking plate at shallow depth is still rather rigid compared to its weak surroundings, it often breaks and tears. Such plate failure at depth caused, for example, by a state of sudden resistance against the driving forces of subduction, can lead to slab windows (*e.g.*, Guillaume *et al.*, 2010) or even tears (*e.g.*, Wortel and Spakman, 2000) and, in the most dramatic scenario, an entire slab-breakoff (Nemcok *et al.*, 1998; Stampfli and Borel, 2002). Such scenarios are suggested to happen on present-day Earth, for example in the Mediterranean region (*e.g.*, Wortel and Spakman, 2000), and are successfully reproduced and examined by laboratory modelling (*e.g.*, Chemenda *et al.*, 2000; Regard *et al.*, 2005) and numerical modelling (*e.g.*, Burkett and Billen, 2010; Duret *et al.*, 2012).

On its further way, down into the Earth's mantle, the oceanic plate crosses and interacts with multiple boundaries. A prominent boundary is the upper-lower mantle transition zone at approximately 660 km depth (see *e.g.*, Goes *et al.*, 2017, and references therein). The shallow sinking plate (300–350 km) is usually characterised by extensional focal mechanisms that indicate low slab-sinking resistance at these depths. In contrast, focal mechanisms at larger depth (500–700 km) indicate general plate compression (Isacks and Molnar, 1971; Richter, 1979; Apperson and Frohlich, 1987). This indicates an increase in slab-sinking resistance at these depths; and is commonly attributed to the upper-lower mantle transition zone. While some of Earth's sinking plates directly penetrate the transition zone and continue to sink into the lower mantle, others are seen to initially “stagnate” vertically above the transition zone or above around 1000 km depth (van der Hilst *et al.*, 1991; Li and Romanowicz, 1996; Bijwaard *et al.*, 1998; Grand, 2002; Fukao and Obayashi, 2013; Rudolph *et al.*, 2015, and Fig. 10). However, it is important to keep in mind that, even though they sometimes slow down significantly and even stall sinking, slabs never truly stagnate (Richard *et al.*, 2006). The propensity of a sinking plate to directly enter the lower mantle depends on multiple factors. Direct penetration is fostered by a steep impinging angle of the slab at the transition zone (Kincaid and Olson, 1987) and, somewhat related, large negative slab buoyancy and low mobility of the overriding-plate and associated trench (Zhong and Gurnis, 1995; Christensen, 1996; Zhong and Gurnis, 1997). The metastability of pyroxene (Agrusta *et al.*, 2014) and/or olivine (Schmeling *et al.*, 1999) in cold slab cores might additionally prevent the slab from entering the lower mantle directly.

It is quite clear, and we have established it in Section 2, where the oceanic plate starts. It is, however, less obvious to define where an oceanic plate, that made its way all down into the lower mantle, ends. When an oceanic plate portion enters the high-viscosity lower mantle, it is forced to slow down dramatically. This causes, as mentioned above, a

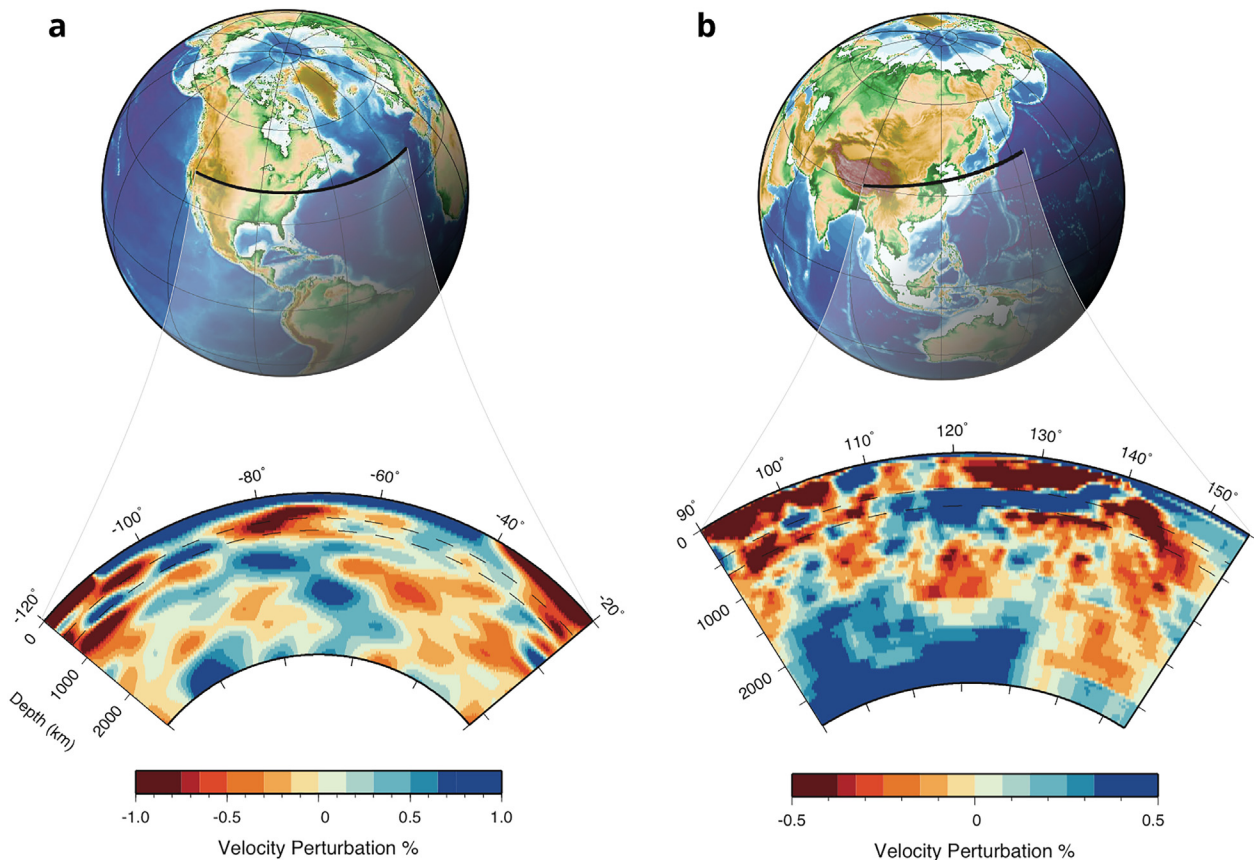
huge compression inside the slab in between its quickly sinking shallower and its slowly sinking deeper part. The slab reacts with buckling and compressional thickening (Gurnis and Hager, 1988; Ribe *et al.*, 2007; Běhounková and Čížková, 2008; Lee and King, 2011). The resulting overall thickening upon arrival in the lower mantle is inferred from seismic observations on Earth and amounts to a factor between 2 and 5. This corresponds to actual slab widths of around 400 km (Hafkenschied *et al.*, 2006; Ribe *et al.*, 2007; Loiselet *et al.*, 2010), but can possibly reach up to 700 km (Sigloch and Mihalynuk, 2013).

In the deep mantle lies the slab graveyard. The detailed structures of dying plates are not clearly visible anymore due to the inhibited or strongly reduced capabilities of seismic imaging techniques at these depths (see *e.g.*, Fig. 11 and Shephard *et al.*, 2017). Yet, these deep slab remnants are believed to lose heat to the surrounding mantle, which widens the slab's profile in the mantle and weakens it. They are, however, still negatively buoyant and sink through the lower mantle at around 1–2 cm/yr (Domeier *et al.*, 2016). It appears, as if the dying, weak plate often separates into discrete, relatively smooth patches or slabs; likely a result of the ongoing diffusive heat exchange between slab and mantle and subsequent slab-weakening, but also due to eroding mantle flow, and the dynamic impact of the viscosity stratification in the mantle. If still intact, the asymmetry of the compositional stratification of the oceanic plate itself (high-density basalt on top of low-density harzburgite) would result in a significant torque to such sinking slabs, causing them to flip over and sink with the basalt-side facing downwards (Tackley, 2011). Finally, the basalt layer of the plate would likely segregate from the rest of the plate, especially after it has transformed to eclogite, and might, or might not, accumulate at the core-mantle boundary (CMB) as part of a bigger blob-like structure (*e.g.*, Tackley, 1998, 2002; McNamara and Zhong, 2004, 2005; Deschamps and Tackley, 2008, 2009; Bull *et al.*, 2009), visible as a Large-Low-Shear-Velocity-Province (LLSVP) (Kennett *et al.*, 1998; Ishii and Tromp, 1999; Bolton and Masters, 2001; Ni *et al.*, 2002; Trampert *et al.*, 2004; Deschamps *et al.*, 2007).

#### 4.3. The dynamic diversity of subduction

Due to the structural heterogeneities described above, as well as other variables such as plate age during subduction, we observe an incredible diversity of forcing in a subduction system, which affects its overall dynamics. Indeed, this diversity is probably the major characteristic of a subducting plate: Subduction zones come in all shapes and forms, which additionally radically evolve over time. Here, we outline some of the most dominant variations within the subduction system. The dynamics in and around a sinking plate is controlled by many diverse physical aspects (see *e.g.*, Billen, 2008; Gerya, 2011, and references therein). All these diverse aspects are here discussed as part of one of the three overarching aspects: geometry, forcing, and rheology.

The geometric variability of a subducting plate is highlighted in tomographic images of the sinking plates all around our planet (*e.g.*, Fukao *et al.*, 2001) and here visualised in Fig. 11. The variable slab geometries that are observed (see *e.g.*, the compilation of Lallemand *et al.*, 2005, and Fig. 12) have, for example, different slab lengths ranging from almost 0 (subduction of spreading ridges) up to around 1600 km length. Some slabs appear to directly penetrate into the lower mantle, while others are strongly deflected at the upper-mantle transition zone (see Section 4.2). In addition, dips of sinking plates are extremely diverse already near the Earth's surface (at around 125 km depth) with angles between 20 and 90°. Continental upper plates seem thereby to foster shallow plate sinking at lower angles, possibly by active over-thrusting. Low shallow-slab dip might, however, also be due to a younger average subducting-plate age at the trench (see Fig. 12), as younger plates are less negatively buoyant, or the weaker slab suction forces that go along with a thick (continental) upper plate and thinner mantle wedge (Tovish *et al.*, 1978; van Hunen *et al.*, 2004).



**Fig. 11.** The oceanic-plate graveyard. (a) Farallon slab (blue patches) in the upper- and lower mantle as seen through global seismic tomography after [Ritsema et al. \(2011\)](#). (b) Deep subduction near Japan (blue patch) as seen through global seismic tomography indicating intermediate slab stagnation at the upper-mantle transition zone after [Li et al. \(2008\)](#). Note the different colourbar limits. The figure is produced with GMT (<http://www.soest.hawaii.edu/gmt>).

Plate bending, from the horizontal to a certain shallow-slab dip, is characterised by a strongly variable minimum bending radius of the plate at the trench. The (minimum) plate bending radii observed on present-day Earth have mean values of 390 km ([Wu et al., 2008](#)) or 175–191 km ([Buffett and Heuret, 2011](#), and Fig. 12) depending on the exact parameters of the spline that is fitted to the observed earthquake hypocentres, and seem to be uncorrelated with plate age ([Buffett and Heuret, 2011](#)), even though systematic analogue and numerical modelling suggests the opposite for steady-state plate subduction ([Bellahsen et al., 2005](#); [Capitanio et al., 2009](#)).

The buoyancy variability of a subducting plate is somewhat controlled by variations in geometry (e.g., slab thickness and length; [Conrad and Lithgow-Bertelloni, 2002](#)) but also by thermal and compositional aspects, some of which are outlined in Fig. 12. Subduction is only self-maintaining, and hence viable, if the slab, or at least the largest part of it, is negatively buoyant relative to its surrounding mantle (as outlined in Section 4.1). The slab's buoyancy, however, varies strongly with plate age and structure. The plate age during subduction varies between nearly 0 (i.e., subduction of spreading ridges) and up to about 200 Ma ([Becker et al., 2009](#); [Coltice et al., 2012](#)), while the plate structure varies, for example, significantly in terms of variable thicknesses of the light crustal layer inside the plate (see below). The negative slab buoyancy is the key ingredient to cause a resulting net slab pull. This excess weight of the slab is, however, also counteracted by, for example, the mantle viscosity ([Conrad and Lithgow-Bertelloni, 2002, 2004](#)); this is most obvious for parts of a slab interacting with the lower mantle. The resulting, net slab pull varies finally more than  $60 \times 10^{12}$  N/m (after the definition of [Carlson et al., 1983](#)).

Variations in net slab pull crucially affect the trailing-plate velocity ( $v_{SUB}$ ; see Fig. 13), which ranges between  $-4$  cm/yr and 12 cm/yr, and

trench velocity ( $v_{TR}$ ), which ranges between  $-9$  cm/yr and 15 cm/yr. High plate-velocities and, even more clearly, trench-velocities are hampered by the presence of a continental upper plate (Fig. 12). This is possibly due to the anchoring effect of deep continental roots extending the low-viscosity asthenosphere ([Conrad and Lithgow-Bertelloni, 2006](#); [Cramer and Lithgow-Bertelloni, 2017](#)). Moreover, buoyancy variations induce strong variations in (shallow and deep) slab dip angles ([Vlaar and Wortel, 1976](#)), slab bending radius ([Buffett and Heuret, 2011](#)), induced mantle-flow strength ([Conrad and Lithgow-Bertelloni, 2002](#); [Király et al., 2017](#)), upper-plate deformation ([Molnar and Atwater, 1978](#), see Fig. 13) and long-wavelength surface topography ([Cramer et al., 2017](#)).

The rheological variability of a subducting plate strongly affects its strength, its weight, and its ability to transmit the slab pull force to the trailing plate, and thus ultimately exerts a key control on the plate dynamics. A stronger plate is, for example, generally harder to bend, which inhibits subduction, but at the same time may cause less dynamical coupling with the overriding plate and the surrounding mantle supporting subduction (e.g., [Cramer and Tackley, 2015](#)). The actual strength of the sinking plate itself depends on dominant deformation mechanisms, which are primarily controlled by the plate temperature, stress and strain rate. Whether plastic (i.e., brittle deformation), viscoplastic, or nonlinear viscous deformation becomes the dominant for plate deformation strongly depends therefore on the actual location of a certain plate portion within the plate-mantle system (e.g., [Billen, 2008](#), and references therein). Brittle-plastic yielding is generally important in low temperature and confining-pressure environments, such as in regions of the plate that are at or close to Earth's surface. Brittle-plastic yielding in the upper part of the oceanic plate crucially facilitates bending during early subduction. At the same time, viscoplastic

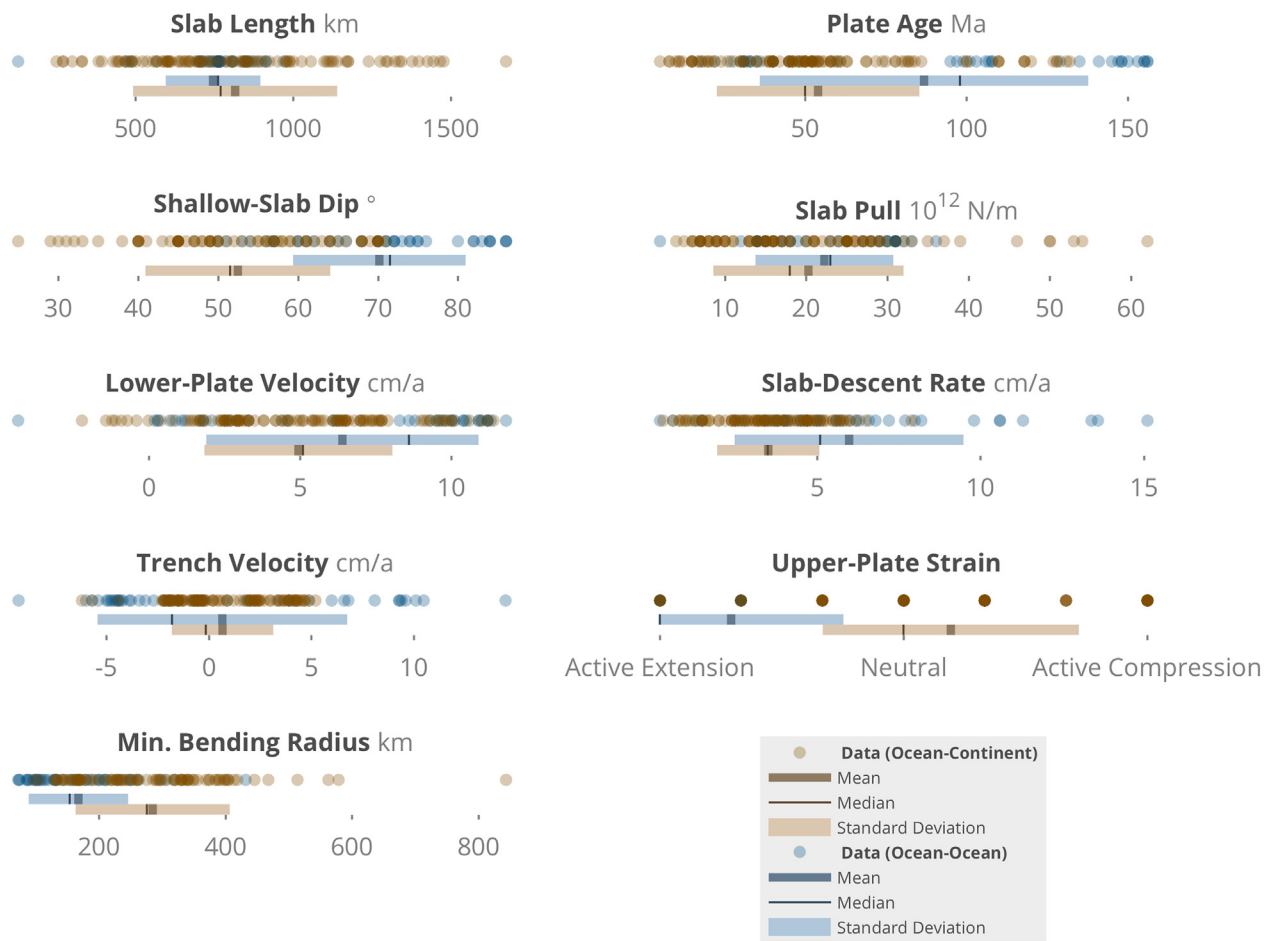


Fig. 12. Subduction diversity on earth. Shown are individual data points (transparent circles) together with their standard deviation, mean and median for ocean-ocean (blue) and ocean-continent (brown) subduction zones. While the minimum bending radii are compiled from Buffett and Heuret (2011), the remaining data are extracted from the compilation of Lallemand et al. (2005).

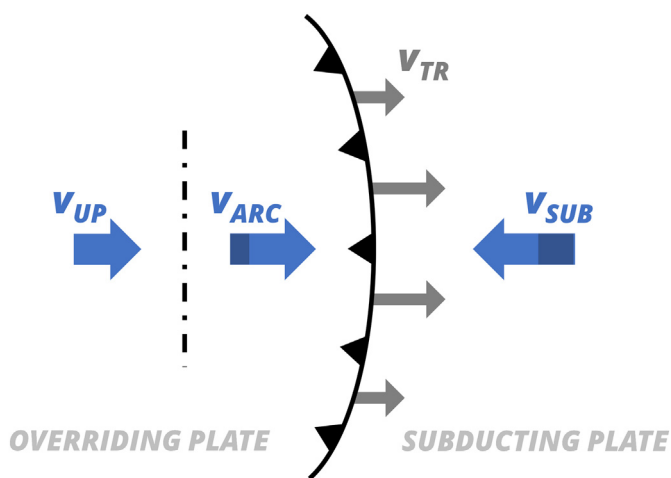


Fig. 13. Subduction-zone convergence definitions. Shown are in top view the subducting-plate velocity ( $v_{SUB}$ ), the upper-plate ( $v_{UP}$ ) and arc velocities ( $v_{ARC}$ ) and the trench velocity ( $v_{TR}$ ) around a subduction trench (solid black line) and a possible back-arc extension or compression (dashed black line).

yielding is supporting and facilitating the bending there as it is the main stress limiter in the lower parts of the plate (see e.g., Garel et al., 2014) and can even lead to shallow slab break-offs (Duretz et al., 2011).

On top of the plate, the weak crustal layer is a key rheological complexity (Cloos and Shreve, 1988). In a subduction zone, the crustal

layer, or at least its upper-most part, is strongly deformed, hydrated, and surrounded by stronger crustal and other rocks (Bebout and Penniston-Dorland, 2016). As we already pointed out at various occasions in previous paragraphs, the crustal layer of the plate takes over multiple defining roles for the sinking of the plate: It is light and so resists plate sinking; it is weak and so facilitates plate bending; it is lubricating and so enables self-sustaining and single-sided plate subduction. Given that its thickness varies strongly spatially, the weak crustal layer contributes therefore also significantly to the high subduction variability.

Given a certain plate rheology, the subducting plate is still dependent on another important rheologic complexity, which involves its surrounding medium: A subducting plate does not sink into an empty space but is instead part of a bigger system that is the convecting mantle. As the subducting plate interacts strongly with the medium into which it is sinking, the rheologic properties of the surrounding mantle represent another crucial aspect of the dynamics of a subduction zone. Analogue and numerical experiments as well as observations of seismic anisotropy show that apart from Newtonian diffusion creep, other diverse flow mechanisms such as dislocation and Peierls creep could dominate deformation at pressure, temperature and strain-rate conditions of the upper mantle (Hirth and Kohlstedt, 1995; Kameyama et al., 1999; Kneller et al., 2005; van Hunen et al., 2005; Long and Silver, 2008). Non-Newtonian dislocation creep is likely dominant around the slab where the mantle is strongly sheared (e.g., Billen, 2008). This significantly reduces the resistance against plate sinking and so leads to faster sinking and also larger plate velocities (e.g., Crameri and Tackley,



2015), but reduced trench-retreat rates and steeper shallow-depth slab-dip angles (Holt and Becker, 2017).

Moreover, the dynamics of the sinking plate are strongly affected by the horizontal rheologic layering of the mantle. The upper-lower mantle transition zone, and in particular the 660-km discontinuity, dramatically affects the forcing and geometry of a subduction system (see e.g., Goes *et al.*, 2017, and references therein). This transition is characterised by both a sudden increase in radial viscosity and density, both of which hamper the sinking of the oceanic plate (see Section 4.2). The density contrast (between slab and lower mantle) can be so strong that the sinking plate slowly bounces off the transition zone and interrupts its vertical sinking temporarily. At what depths and by how much these mantle properties change exactly is still not entirely clear (e.g., Rudolph *et al.*, 2015).

Considering all of the above variabilities in geometry, buoyancy and rheology, it becomes clear – and it is important to remind us of it repeatedly – that the sinking plate is one of the most geometrically variable large-scale features of our planet. It bends, twists, thins, tears, thickens and buckles throughout all three spatial dimensions. Characterising a subduction zone on the basis of a vertical cross-section can therefore be rather meaningless without incorporating the huge variations along its strike direction. And as if the above varieties were not enough, all of these properties change over time too; the strong time-dependence of subduction is another crucial complexity. But despite all of this incredible dynamic variation, one aspect is universal to all subduction zones: The sinking plate portion is the locomotive of the trailing oceanic plate (Forsyth and Uyeda, 1975; Conrad and Lithgow-Bertelloni, 2002), and as such, also the main driver of mobile-lid mantle convection.

#### 4.4. Consequences of the sinking plate

Downward bending and sinking into the mantle is probably most dramatic and most influential stage of the oceanic plate: The process of subduction is accompanied by unprecedented mechanical, thermal – and if it were not enough – compositional events. Much like a last effort, the deforming and warming oceanic plate reacts with intense seismic and magmatic activities while driving itself unstoppable further down into the hot and mixing depths of the planet. Before the oceanic plate is heated and stirred back into the mantle, the sinking oceanic plate has important consequences for its surroundings, and indeed the whole planet.

##### 4.4.1. Consequences of the shallow slab

At the surface, the plate collision zone is clearly outlined by a characteristic, asymmetric topographic scar (e.g., Fig. 10a). When the subducting plate approaches the convergent boundary, the first topographic appearance of the convergent boundary is the viscous fore-bulge (or outer rise); the transient, viscous uplift outboard of the trench caused by the downward bending of the subducting plate that floats on a low-viscosity mantle (de Bremaecker, 1977). Its height is mostly controlled by the shallow-slab dip (Cramer *et al.*, 2017). The fore-bulge is directly followed by a deep subduction trench that marks the interface between the upper- and lower plate. It can reach up to 11 km depth below sea level (e.g., Nakanishi and Hashimoto, 2011). The trench is a direct consequence of the downward pull of the subducted plate portion and influenced therefore by slab length and plate age at the trench, but also by the subduction-fault dip and shallow-slab dip angles (e.g., Zhong and Gurnis, 1994). Among all of these, the two key controls are the dip angle of the oceanic plate at shallow depth followed by the regional net slab pull (Jarrard, 1986; Cramer *et al.*, 2017). At an ocean-ocean collision zone, the trench is followed by the island-arc (or volcanic arc), which is a high on the upper plate that is caused by local horizontal compression resulting from the collisional plate interface. As such it is mostly controlled by the local plate strength (Cramer *et al.*, 2017). Apart from its dynamic origin, the island-arc can also be strongly

affected by volcanism (Karig, 1971) and focusing of melt by the thermal structure of the upper plate (England and Katz, 2010; Rondenay *et al.*, 2010). Following the island-arc, and marking the end of the topographic scar, is the back-arc depression (or basin). It is the downward deflection of the upper plate above the sinking slab. This regional deflection is mainly caused by upper plate extension, but also due to the dynamic coupling with the sinking slab below. Near-surface slab dip and buoyancy, and mantle wedge viscosity are therefore the three major agents controlling the depth of the depression (Billen and Gurnis, 2001; Cramer *et al.*, 2017). Back-arcs do not only deflect vertically but can also be under compression or extension laterally. The latter case can even lead to episodic back-arc spreading, where the upper plate is torn apart (Karig, 1971; Uyeda and Kanamori, 1979; Carlson and Melia, 1984; Heuret and Lallemand, 2005). This state, with the two upper-plate parts moving away from each other, is mostly controlled by horizontal tectonic stresses arising at the subduction zone (Ruff and Kanamori, 1980; Heuret *et al.*, 2012; Magni *et al.*, 2014).

Apart from deflecting the surface, the old oceanic plate portion causes, during its downward travel into the Earth, huge earthquakes, fluid release and – despite its cold nature – lava-spitting volcanoes. In fact, the largest earthquakes ever recorded took place along the plate boundary at subduction zones. The internal deformation of the oceanic plate during subduction is another important source of earthquakes. The bending and unbending involved in subducting an oceanic plate exert enormous stresses on the plate, especially on its upper-most, brittle part (see e.g., Fig. 10d). It is therefore not surprising that earthquakes steadily originate in a narrow band inside the upper part of the sinking plate. This seismically active band outlines the internal plate deformation along the slab and is called the Wadati Benioff Zone (Wadati, 1935; Benioff, 1949). This zone can reach down to 660 km depth and its detection was one of the first indicators for the characteristic, single-sided geometry of sinking oceanic plates.

Subduction-induced flow in the mantle is a crucial consequence of the sinking plate and comes with different strengths and patterns (see Fig. 1 and e.g., Jadamec, 2016, and references therein). One way the sinking plate induces flow in the surrounding mantle is through viscous coupling (Garfunkel *et al.*, 1986). As the sinking plate motion is predominantly downdip in slab-parallel direction and involves little horizontal rotation, the flow induced by viscous coupling is mostly poloidal; one convection cell in the back, and one cell in the front of the slab. The latter causes a “trench suction”, a drag on the upper plate induced by the shallow mantle return flow that originates from the sinking of the plate (Garfunkel *et al.*, 1986). When an inclined plate sinks, as is usually the case in the upper mantle, it creates a pressure gradient between the back and the front of the slab that influences slab dip (McKenzie, 1969; Tovish *et al.*, 1978). This pressure difference is also the cause of both an additionally induced, toroidal flow of mantle material, mostly around the slab's edges (e.g., Funicello *et al.*, 2003, 2004; Schellart, 2004; Piromallo *et al.*, 2006; Stegman *et al.*, 2010a; Jadamec and Billen, 2010; Capitanio and Faccenda, 2012), and a trench-parallel flow in the back of the slab (Russo and Silver, 1994; Kneller and van Keken, 2007; Long and Silver, 2009; Paczkowski *et al.*, 2014). The combination of the latter, trench-parallel flow and the poloidal component then actually causes a 3-D flow spiral in the back of sinking plates (Cramer and Tackley, 2014, and highlighted in Fig. 1).

Why the cold sinking plate causes hot surface volcanism is still not quite clear. However, apart from possible triggers like the fluid release from the plate that lowers the melting point of the surrounding mantle rocks (e.g., Anderson *et al.*, 1976) or the additional heat produced by the deformation along the plate interface (e.g., Yuen *et al.*, 1978), subduction-induced mantle flow seems to be a likely important candidate (e.g., Marsh, 1979): The subduction-induced mantle flow draws, in particular, low-viscosity, hot material to the shallow mantle wedge (e.g., Schellart, 2004; Piromallo *et al.*, 2006; Faccenna *et al.*, 2010; Jadamec and Billen, 2010). A toroidal flow cell around a sinking-plate edge even has the potential to significantly influence its neighbouring

plate, if they are less than around 600 km away from each other (Király *et al.*, 2016).

The most influential impact of the subduction-induced toroidal flow comes, however, from a dynamic feedback on the sinking plate portion itself: The mantle flow causes the slab to deform. Most sinking portions of oceanic plates have a characteristic arcuate shape (concave towards the mantle wedge), which is even visible at the surface where the subduction trench displays the similar, translated geometry of the slab (see e.g., Sdrolias and Müller, 2006). Even though it is still widely believed, and still the common explanation given in textbooks, subduction zones are not arcuate because of what has been named the “ping-pong ball effect” (Frank, 1968). The ping-pong ball analogy describes the presumed geometric similarity between a subducting plate and a circular indentation on a dented ping-pong ball. Even though it is an elegant analogy, its geometrical attribute, a specific relationship between arc-radius and slab-dip (i.e., the steeper the dip, the larger the bending radius of the arc), is not matched at all by the majority of Earth's subduction zones (e.g., Tovish and Gerald, 1978; Morra *et al.*, 2006). The observed curvature of oceanic trenches can, in contrast, be fully explained by the combination of plate-internal heterogeneities and external feedback from the induced flow in the surrounding mantle (Morra *et al.*, 2006). Indeed, it has been shown that subduction zones are arcuate due to the induced mantle flow in their surrounding: Subduction models run in Cartesian boxes indicate the arcuate shape to be the natural state of a dynamically evolving subducting plate, even in a flat (i.e., non-spherical) Earth (Cramer and Tackley, 2014).

The feedback with the subduction-induced mantle flow does also explain the observation of multiple-arc geometry of wide subducting plates. In the case of a wide slab, the mantle in the back-slab region cannot be displaced efficiently anymore laterally along the slab strike and around the edges (as outlined in detail above). Instead, it forms a stagnation zone somewhere near the central part of the slab (e.g., Russo and Silver, 1994; Schellart *et al.*, 2007). Such a stagnation zone can cause the retreating slab to tightly deflect around it, which then ultimately leads to a typical narrow convex kink in between two otherwise concavely arcuate slab portions (Cramer and Tackley, 2014). The wide, multi-arcuate South America subduction system is a classic example (e.g., Russo and Silver, 1994; Schellart *et al.*, 2008). If the stagnation zone persists and the intact slab continues to retreat, it can tear, or, if it stays intact, even lead to a ‘slab tunnel’ (Cramer and Tackley, 2014). Either way opens a door for the trapped mantle material to finally escape the high-pressure region in the back of the slab as is, for example, observed for the Nazca slab (e.g., Lynner *et al.*, 2017).

Even though the plate is bent strongly (in vertical and horizontal direction), it can usually maintain its structural integrity during its sinking through the upper mantle. This enables the transmission of stress along the sinking slab. The stress transmission along the slab and the slab's body force are the two crucial dynamic ingredients for plate tectonics like on Earth. The sinking portions of oceanic plates are steadily rearranging the tectonic puzzle on the surface, merging continents and divorcing them again.

#### 4.4.2. Consequences of the deep slab

In the deeper parts of subduction zones, the oceanic plate undergoes various crystallographic mutations. The transformation of light crustal basalt to denser eclogite at depth greater than around 60 km, for example, provides an additional pull to the trailing oceanic plate (Ringwood and Green, 1966). In contrast, the delayed transformation of ringwoodite to bridgmanite and ferropericlase (e.g., Bina, 1991) in the cold sinking-plate core compared to the hot surrounding mantle provides crucial resistance against the plate sinking beyond 660 km depth, because it temporarily reverses the density contrast between sinking plate and surrounding mantle. In fact, the resistance is so strong that some slabs are strongly deflected and might even pause for a while before they further sink into the deep mantle (van der Hilst *et al.*, 1991; Li and Romanowicz, 1996; Bijwaard *et al.*, 1998; Grand, 2002; Fukao

and Obayashi, 2013; Goes *et al.*, 2017, and Section 4.2). The physics involved in the slab-transition zone collision has been studied extensively for slab tongue deformation (i.e., the bending, buckling and thickening of the leading slab-tip portion Ribe *et al.*, 2007; Běhounková and Čížková, 2008; Ribe, 2010; Stegman *et al.*, 2010b; Lee and King, 2011; Li and Ribe, 2012) and the dynamic consequences of intermediate slab stagnation (Kincaid and Olson, 1987; Griffiths *et al.*, 1995; Zhong and Gurnis, 1995; Christensen, 1996; Zhong and Gurnis, 1997; Funicello *et al.*, 2003; Čížková and Bina, 2013; Garel *et al.*, 2014; Agrusta *et al.*, 2014, 2017; Cramer and Lithgow-Bertelloni, 2017). Cramer and Lithgow-Bertelloni (2017) showed that the interaction of the sinking plate with the upper-mantle transition zone can even lead to an abrupt continental-wide tilt at the surface above.

Even though some slabs stagnate for a while at the upper-lower mantle transition zone, this is not a stable state of the deep oceanic plate. The instability process bringing temporarily stagnant slabs deeper into the lower mantle is commonly referred to as slab- or mantle avalanches (e.g., Nakakuki *et al.*, 2010). Slab avalanches have, for example, been suggested to have caused the significant transitions in mantle-flow patterns and deformation styles in the Southwest Pacific (Pysklywec *et al.*, 2003) and in Southeast Asia (Yang *et al.*, 2016).

Such crucial interaction of the slab with the surface is a late, but not yet the last signal of the old, descending oceanic plate from the deep mantle. Even though the deep sinking slab and any broken-apart slablets are constantly getting warmer, widened, and weakened (see Section 4.2), they remain negatively buoyant (e.g., Conrad and Hager, 1999) and eventually come to rest in “slab graveyards” in the deepest mantle (Spasojevic *et al.*, 2010, and Fig. 11). These negatively buoyant last remnants of the oceanic plate are therefore still part of the primary energy source that drives global-scale mantle flow and the associated surface plate motions (e.g., Becker and O'Connell, 2001; Conrad and Lithgow-Bertelloni, 2002, 2004). The impact of this global flow can be felt at all depths, ranging from the interaction with the LLSVPs at the base of the mantle (e.g., Burke *et al.*, 2008; Dziewonski *et al.*, 2010; Steinberger and Torsvik, 2012; Conrad *et al.*, 2013) to ~1 km dynamic topography at the Earth's surface (e.g., Flament *et al.*, 2013). The core-mantle boundary is, then eventually, the final ocean-plate graveyard: It is where slabs become finally reheated and their materials recycled back into the rest of the mantle system (Torsvik *et al.*, 2016).

## 5. Ocean-Plate Tectonics

### 5.1. A more specific, more integral concept

Oceanic plates are operational at space and time scales beyond the regular range of human experience. Tiny defects in micrometer-sized crystals enable the movement of plates that are, in contrast, thousands of kilometres wide. The fleetingly short slips happening over a few seconds at seismogenic, convergent plate boundaries are an expression of the sluggish plate drift that happens over millions of years. Because such deformations are not easily observed on human time scales, and because they mostly occur beneath seawater and sediments, the discovery of the ocean plates took an unusually long time, despite their planetary importance. Indeed, their behaviour is still not fully understood.

The dynamic life of the oceanic plate, outlined in detail above, from its formation through cooling to its destruction (Fig. 1), is at the centre of Plate Tectonics, the fundamental theory developed around 50 years ago (Hess, 1962). Back then, the theory of Plate Tectonics described the horizontal dynamics of the outermost shell of the solid, convecting Earth (Holmes, 1931) and explained the motions of continents (Wegener, 1912) and the symmetrically undulating paleo-magnetic striping of the seafloor at mid-ocean ridges (e.g., Mason, 1958; Vine and Matthews, 1963). ‘Plate Tectonics’ was – and still is – simply and solely defining the horizontal kinematics of multiple discrete, mostly rigid surface plates, while necessitating the three end-members of weak and

narrow plate boundaries that allow for divergence, convergence and shearing. As such, the concept of plate tectonics captures neither the crucial differences between the oceanic and continental plates, nor the forcing behind their horizontal movement across the surface. Missing also from the traditional definition is the integral role that oceanic plates play within the framework of mantle convection on Earth.

Since plate tectonics was originally defined, our knowledge of the underlying processes of plate tectonics has, however, been greatly enhanced by more detailed observations on Earth (plates are not entirely rigid and plate boundaries can be diffuse (Gordon, 1998); plates are not always entirely oceanic or continental; some oceanic plates may be lacking either subducting or spreading boundaries), through comparisons with similar and contrasting surface behaviours of other planetary bodies (mantle convection with mobile surface plates is only one of many possible ways for a silicate planet to cool), and via analogue and numerical modelling (slabs drive the plates; continuously mobile plates appear only in a narrow range of parameter space). Given these advances, the tectonics of the oceanic plate therefore needs a more focused (distinguishing between continental and oceanic plate nature), a more complete (including framework and forcing), and indeed a more sophisticated description than that was possible 50 years ago.

The oceanic plate, as we understand it today, is not merely characterised by an autonomous, isolated horizontal movement at the planet's surface, but is instead intimately linked to the drift of its continental counterparts (Wilson, 1966; Burke, 2011), mantle convection (Turcotte and Oxburgh, 1972), and indeed to the whole system Earth (see e.g., Torsvik and Cocks, 2016b, and references therein). The oceanic plate is not only part of the cold thermal boundary layer of the hot convecting mantle bridging the solid interior with the oceans and atmosphere above (see Bercovici, 2003; Coltice *et al.*, 2017, and references therein) but, moreover, it is an integral and active part of the convective mantle overturn: It is formed from upwelling mantle material (see Section 2) and pulled horizontally across the surface (see Section 3) mainly by its own subducted portion that is eventually destroyed in the deep mantle again (see Section 4). Such additional insights gained from more than 50 years of research allow for a new, more refined and more integral definition. This definition should not only recognise the recent “revolution” in the Geosciences that casts plate tectonics within the framework of mantle convection (Torsvik and Steinberger, 2006, 2008), but also pave the way for future advances by bringing currently diverging perceptions of ‘Plate Tectonics’ back to a common ground:

**Definition. ‘Ocean-Plate Tectonics’** is a mode of mantle convection characterised by the autonomous relative movement of multiple discrete, mostly rigid, portions of oceanic plates at the surface, driven and maintained principally by subducted parts of these same plates that are sinking gravitationally back into Earth's interior and deforming the mantle interior in the process.

## 5.2. Emergence

Strongly linked to how we define ‘Ocean-Plate Tectonics’ is also the question of whether Ocean-Plate Tectonics was always present on Earth, or, if not, when it started (see e.g., Stern, 2007; Condie and Pease, 2008). One unavoidable outcome of the dynamic life of the oceanic plate is that it is always erasing its traces efficiently and continuously (see Section 4). Yet, tiny bits and traces of past plates are remaining today and provide at least some constraints on the beginnings of plate tectonics: Tiny parts of the oceanic plate (i.e., ophiolites) have, for example, been emplaced on continents, the more enduring, long-lived parts of Earth's surface, and therefore escaped destruction. These informative ophiolites record both plate formation (see Section 2) and relative plate movement. Convincing ophiolite samples have a maximum age of around 1 Gyr, with some less convincing samples dating back further than 2 Ga (e.g., Scott *et al.*, 1992). There is

also evidence for deep subduction (which is, per definition, one necessity for Ocean-Plate Tectonics) back to nearly 1 Ga from the oldest blueschists found on Earth, which have ages of around 800–700 Myr (Maruyama *et al.*, 1996). Samples like these support the operation of Ocean-Plate Tectonics with modern-style subduction for at least the past billion years (Brown, 2006). The absence of similar samples with older ages does not, however, mean that they never existed: They simply might not have been preserved.

But would Ocean-Plate Tectonics be viable at all on the early Earth (see van Hunen *et al.*, 2008, and references therein)? Theoretical considerations suggest that this would not be the case at least for the very young, Archean Earth. The Archean mantle at 2.5–3.8 Ga was certainly hotter than it is presently due to more heat from early (> 4 Ga) radioactive decay, accretion, core differentiation, large planetesimal impacts (e.g., moon-forming event), and a hotter sun (during its T Tauri phase; e.g., Bertout, 1989). The resulting lithosphere in between such a hot atmosphere and mantle would also be hotter, and hence thinner and more buoyant while the crust would be thicker than today (Fig. 6), making Ocean-Plate Tectonics less viable (see Section 4.1.1). Additionally, a hotter and thinner plate would be weaker and more prone to lithosphere-scale failure, which would create more spreading ridges (see Section 2.1) and inhibit the style of subduction we observe today (see Section 4.1). So, if there were an early plate (i.e., no magma ocean) and relative plate movement (i.e., no rigid stagnant lid), surface deformation might instead result from drip- (Davies, 1992) or blob-like-subduction tectonics (Cramer and Tackley, 2015), ridge-only tectonics (Rozel *et al.*, 2015), or inefficient short-slab tectonics (Davaile *et al.*, 2017) that may currently be operating on Venus (Sandwell and Schubert, 1992; Schubert and Sandwell, 1995) and might have, on Early Earth, been triggered at the edges of collapsing continents (Rey *et al.*, 2014).

When during Earth's history such a different style of tectonics transitioned into the present-day deep-subduction style of Ocean-Plate Tectonics, and whether this happened suddenly or gradually, is not yet clear (Brown, 2008). However, there appear to be two major shifts in the products of Earth's surface tectonics during its evolution: First, a change in net growth rate of continental crust is apparent at around 3 Ga (Dhuime *et al.*, 2015), and second, preservation of ophiolites and ultra-high-pressure rocks are associated with a dramatic change of climate and true-polar wander at around 1–0.5 Ga (see e.g., Torsvik and Cocks, 2016a, and references therein). Both of these events may (or may not) be associated with the invention of Ocean-Plate Tectonics.

## 5.3. Closing

It has become clear that comprehending Earth evolution and dynamics requires a fundamental understanding of whole mantle-convection and, indeed, the whole planetary system. This understanding begins with the oceanic plate. After all, it is the most fascinating dynamic earmark of our planet. It is a transporter to the heat, a recycler to volatiles, a mixer to the interior, a sculptor to surfaces, a mother to life. It is the oceanic plate, the drumming pyromaniac of the underworld.

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